

Warped Models in String Theory

Roberto Valandro

SISSA/ISAS
Trieste (Italy)

Rutgers

14 November 2006

(Work in collaboration with B.S.Acharya and F.Benini)

Appearing soon

Introduction

5D warped models in a slice of AdS_5 very interesting from a phenomenological point of view:

- Based on *Randall-Sundrum* model \Rightarrow they solve the **Hierarchy problem** through a warp factor.
- First proposal: all the SM localised on a 3-brane, where the warp factor is not negligible, but it is not necessary \Rightarrow **matter** can come from **5D fields**.
- Turning on **bulk masses** \Rightarrow **localization** of fermions in extradim.
- Realization of **Yukawa hierarchy** through different overlap of fermion profiles with the Higgs.

Introduction

5D warped models in a slice of AdS_5 very interesting from a phenomenological point of view:

- Based on *Randall-Sundrum* model \Rightarrow they solve the **Hierarchy problem** through a warp factor.
- First proposal: all the SM localised on a 3-brane, where the warp factor is not negligible, but it is not necessary \Rightarrow **matter** can come from **5D fields**.
- Turning on **bulk masses** \Rightarrow **localization** of fermions in extradim.
- Realization of **Yukawa hierarchy** through different overlap of fermion profiles with the Higgs.

Introduction

5D warped models in a slice of AdS_5 very interesting from a phenomenological point of view:

- Based on *Randall-Sundrum* model \Rightarrow they solve the **Hierarchy problem** through a warp factor.
- First proposal: all the SM localised on a 3-brane, where the warp factor is not negligible, but it is not necessary \Rightarrow **matter** can come from **5D fields**.
- Turning on **bulk masses** \Rightarrow **localization** of fermions in extradim.
- Realization of **Yukawa hierarchy** through different overlap of fermion profiles with the Higgs.

Introduction



String realization of models presenting analogous characteristics:

- Warp factor \rightsquigarrow Warped String Compactification.
- 5D matter fields \rightsquigarrow Matter living on 8D $D7$'s worldvolume.
- Masses (localiz) \rightsquigarrow Instanton background(localiz).
 \Rightarrow Yukawa hierarchy.

Introduction



String realization of models presenting analogous characteristics:

- Warp factor \rightsquigarrow **Warped String Compactification.**
- 5D matter fields \rightsquigarrow Matter living on 8D $D7$'s worldvolume.
- Masses (localiz) \rightsquigarrow Instanton background(localiz).
 \Rightarrow Yukawa hierarchy.

Introduction



String realization of models presenting analogous characteristics:

- Warp factor \rightsquigarrow **Warped String Compactification.**
- 5D matter fields \rightsquigarrow Matter living on **8D $D7$'s worldvolume.**
- Masses (localiz) \rightsquigarrow **Instanton background(localiz).**
 \Rightarrow Yukawa hierarchy.

Introduction



String realization of models presenting analogous characteristics:

- Warp factor \rightsquigarrow **Warped String Compactification**.
- 5D matter fields \rightsquigarrow Matter living on **8D $D7$'s worldvolume**.
- Masses (localiz) \rightsquigarrow **Instanton** background(localiz).
 \Rightarrow Yukawa hierarchy.

Outline

- 1 5D Models
- 2 String Theory Warped Models
 - String realization of warped models
 - Fermion zero modes
 - Two realizations of Yukawa couplings
- 3 Conclusions

5D Warped Models [*Randall-Sundrum*]

RS1 model:

- The spacetime is **5 dimensional**.
- The fifth dimension y is **compactified** on S^1/\mathbb{Z}_2 .
- Two orbifold fixed points: at $y = 0$ and at $y = \pi R \rightarrow$ **boundaries**.
- Two 3-branes at the boundaries:
UV-brane at $y = 0$ and **IR-brane** at $y = \pi R$.
- The metric is not factorizable and is the form:

$$ds^2 = e^{-2\kappa y} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2 .$$

\Rightarrow The spacetime between the two 3-branes is simply **a slice of AdS_5** geometry. κ^{-1} is the AdS radius.

5D Warped Models [*Randall-Sundrum*]

RS1 model:

- The spacetime is **5 dimensional**.
- The fifth dimension y is **compactified** on S^1/\mathbb{Z}_2 .
- Two orbifold fixed points: at $y = 0$ and at $y = \pi R \rightarrow$ **boundaries**.
- Two 3-branes at the boundaries:
UV-brane at $y = 0$ and **IR-brane** at $y = \pi R$.
- The metric is not factorizable and is the form:

$$ds^2 = e^{-2\kappa y} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2 .$$

\Rightarrow The spacetime between the two 3-branes is simply **a slice of AdS_5** geometry. κ^{-1} is the AdS radius.

5D Warped Models [*Randall-Sundrum*]

- The 4D reduced **Planck mass** M_4 is given by:

$$M_4^2 = \frac{M_5^3}{\kappa} (1 - e^{-2\pi\kappa R})$$

it depends only weakly on R . The exponential has very little effect in determining the Planck scale.

- The **warp factor** plays an important role in determining the **4D masses** on the IR -brane:

The **HIERARCHY PROBLEM** is addressed.

Generic mass scale M in 5D theory are scaled down to $e^{-\pi\kappa R} M$ on IR -brane. So, if the **Higgs is localized at $y = \pi R$** $\Rightarrow M_H \sim M_4 e^{-\pi\kappa R}$.

5D Warped Models [*Randall-Sundrum*]

- The 4D reduced **Planck mass** M_4 is given by:

$$M_4^2 = \frac{M_5^3}{\kappa} (1 - e^{-2\pi\kappa R})$$

it depends only weakly on R . The exponential has very little effect in determining the Planck scale.

- The **warp factor** plays an important role in determining the **4D masses** on the IR -brane:

The **HIERARCHY PROBLEM** is addressed.

Generic mass scale M in 5D theory are scaled down to $e^{-\pi\kappa R} M$ on IR -brane. So, if the **Higgs is localized at $y = \pi R \Rightarrow M_H \sim M_4 e^{-\pi\kappa R}$.**

Fermion Zero Modes

- Matter fields not necessary on *IR*-brane [*Gherghetta-Pomarol*].
- 5D matter action with bulk mass terms \Rightarrow EOM's:

$$(g^{MN}\gamma_M D_N + m_\Psi)\Psi = 0$$

$$m_\Psi = c\kappa\epsilon(y).$$

- D_N contains the warp factor:

$$e^{\kappa y}\eta^{\mu\nu}\gamma_\mu\partial_\nu\Psi_{(-)} + \partial_5\Psi_{(+)} + (m_\psi - 2k)\Psi_{(+)} = 0$$

$$e^{\kappa y}\eta^{\mu\nu}\gamma_\mu\partial_\nu\Psi_{(+)} - \partial_5\Psi_{(-)} + (m_\psi + 2k)\Psi_{(-)} = 0$$

where $\Psi = \Psi_{(+)} + \Psi_{(-)}$ and $\gamma_5\Psi_{(\pm)} = \pm\Psi_{(\pm)}$.

Fermion Zero Modes

- Matter fields not necessary on *IR*-brane [*Gherghetta-Pomarol*].
- 5D matter action with bulk mass terms \Rightarrow EOM's:

$$(g^{MN}\gamma_M D_N + m_\Psi)\Psi = 0$$

$$m_\Psi = c \kappa \epsilon(y).$$

- D_N contains the warp factor:

$$e^{\kappa y} \eta^{\mu\nu} \gamma_\mu \partial_\nu \Psi_{(-)} + \partial_5 \Psi_{(+)} + (m_\psi - 2k) \Psi_{(+)} = 0$$

$$e^{\kappa y} \eta^{\mu\nu} \gamma_\mu \partial_\nu \Psi_{(+)} - \partial_5 \Psi_{(-)} + (m_\psi + 2k) \Psi_{(-)} = 0$$

where $\Psi = \Psi_{(+)} + \Psi_{(-)}$ and $\gamma_5 \Psi_{(\pm)} = \pm \Psi_{(\pm)}$.

Fermion Zero Modes

- KK expansion of the fermions:

$$\Psi(x, y) = \sum_n \chi^{(n)}(x) \psi^{(n)}(y) \quad \text{with} \quad \eta^{\mu\nu} \gamma_\mu \partial_\nu \chi^{(n)} = m_n \chi^{(n)}$$

⇒ zero modes:

$$\psi^{(0)}(y) \propto e^{(\frac{1}{2}-c)\kappa y}$$

c is the bulk mass parameter

It gives fermions **localized** around different points in the bulk.

Fermion Zero Modes

- KK expansion of the fermions:

$$\Psi(x, y) = \sum_n \chi^{(n)}(x) \psi^{(n)}(y) \quad \text{with} \quad \eta^{\mu\nu} \gamma_\mu \partial_\nu \chi^{(n)} = m_n \chi^{(n)}$$

⇒ zero modes:

$$\psi^{(0)}(y) \propto e^{(\frac{1}{2}-c)\kappa y}$$

c is the bulk mass parameter

It gives fermions **localized** around different points in the bulk.

Yukawa Couplings

- The Higgs is a 4D field, localized on the IR -brane.
- The Standard Model Yukawa coupling (YC) interactions are promoted to 5D interactions in the warped bulk:

$$\int d^4x \int dy \sqrt{-g} \lambda_{ij}^{(5)} \bar{\Psi}_i(x, y) \Psi_j(x, y) H(x) \delta(y - \pi R)$$

$$\Rightarrow \lambda_{ij} \sim \begin{cases} \lambda_{ij}^{(5)} \kappa e^{(1-c_i-c_j)\pi\kappa R} & c_{i,j} > 1/2 \\ \lambda_{ij}^{(5)} \kappa & c_{i,j} < 1/2 \end{cases}$$

Fermions mass hierarchy [Grossman-Neubert]

- It is generated by separating fermions from the Higgs.
- $\lambda_t \sim 1 \Rightarrow$ top localized on the IR -brane.

Yukawa Couplings

- The Higgs is a 4D field, localized on the IR -brane.
- The Standard Model Yukawa coupling (YC) interactions are promoted to 5D interactions in the warped bulk:

$$\int d^4x \int dy \sqrt{-g} \lambda_{ij}^{(5)} \bar{\Psi}_i(x, y) \Psi_j(x, y) H(x) \delta(y - \pi R)$$

$$\Rightarrow \lambda_{ij} \sim \begin{cases} \lambda_{ij}^{(5)} \kappa e^{(1-c_i-c_j)\pi\kappa R} & c_{i,j} > 1/2 \\ \lambda_{ij}^{(5)} \kappa & c_{i,j} < 1/2 \end{cases}$$

Fermions mass hierarchy [Grossman-Neubert]

- It is generated by separating fermions from the Higgs.
- $\lambda_t \sim 1 \Rightarrow$ top localized on the IR -brane.

5D models - Summary

The **HIERARCHY PROBLEM** is addressed.

The **Higgs** is localized on the **IR**-brane.

Fermions **localized** around different points in the bulk.

It is realized through a **bulk mass term**.

Yukawa hierarchy

It is generated by **separating** fermions from the Higgs.

Outline

- 1 5D Models
- 2 **String Theory Warped Models**
 - String realization of warped models
 - Fermion zero modes
 - Two realizations of Yukawa couplings
- 3 Conclusions

Hierarchy in String Theory

In String Theory: **warped compactifications**

$$ds^2 = e^{-4A(z)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{4A(z)} \tilde{g}_{mn} dz^m dz^n$$

In type II there are **solutions with non-trivial warp factor**.

- Both **Dbranes** and **Fluxes** are sources of non-trivial warping.
- **Regions** where $e^{-4A} \ll 1$ are called **throats**:
 - ⇒ The 4D energy of phenomena localized in these regions is redshifted by a factor of e^{-2A} .
- Local geometry in throats is typically $\sim AdS_5 \times K_5$:

$$ds^2 = e^{-2y/L} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2 + L^2 ds_{K_5}^2 \quad (L \text{ is } AdS_5 \text{ radius.})$$

Hierarchy in String Theory

In String Theory: **warped compactifications**

$$ds^2 = e^{-4A(z)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{4A(z)} \tilde{g}_{mn} dz^m dz^n$$

In type II there are **solutions with non-trivial warp factor**.

- Both **Dbranes** and **Fluxes** are sources of non-trivial warping.
- **Regions where $e^{-4A} \ll 1$** are called **throats**:
 - ⇒ The 4D energy of phenomena localized in these regions is redshifted by a factor of e^{-2A} .
- Local geometry in throats is typically $\sim AdS_5 \times K_5$:

$$ds^2 = e^{-2y/L} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2 + L^2 ds_{K_5}^2 \quad (L \text{ is } AdS_5 \text{ radius.})$$

D3-branes background on 6D torus

Take a T^6 compactification of Type IIB [H. Verlinde].

N D3-branes (on $z = 0$) backreact on the geometry, giving:

$$ds^2 = \frac{1}{f(z)^{1/2}} ds_{3,1}^2 + f(z)^{1/2} dz^2$$

Define $r \equiv |z|$ and $L^4 \equiv 4\pi N g_s \alpha'^2$.

- When $r \gtrsim L \Rightarrow f(z) \sim 1$;
 \hookrightarrow The geometry reduces to $\mathbb{R}^{3,1} \times T^6$.
- When $r \lesssim L \Rightarrow f(z) \sim \frac{L^4}{r^4}$.
 \hookrightarrow The geometry reduces to $AdS_5 \times S^5$:

$$ds^2 = \frac{r^2}{L^2} ds_{3,1}^2 + \frac{L^2}{r^2} dr^2 + L^2 d\Omega_5^2$$

D3-branes background on 6D torus

Take a T^6 compactification of Type IIB [H.Verlinde].

N D3-branes (on $z = 0$) backreact on the geometry, giving:

$$ds^2 = \frac{1}{f(z)^{1/2}} ds_{3,1}^2 + f(z)^{1/2} dz^2$$

Define $r \equiv |z|$ and $L^4 \equiv 4\pi N g_s \alpha'^2$.

- When $r \gtrsim L \Rightarrow f(z) \sim 1$;

\hookrightarrow The geometry reduces to $\mathbb{R}^{3,1} \times T^6$.

- When $r \lesssim L \Rightarrow f(z) \sim \frac{L^4}{r^4}$.

\hookrightarrow The geometry reduces to $AdS_5 \times S^5$:

$$ds^2 = \frac{r^2}{L^2} ds_{3,1}^2 + \frac{L^2}{r^2} dr^2 + L^2 d\Omega_5^2$$

D3-branes background on 6D torus

- The 4D reduced Planck mass M_4 is given by:

$$M_4^2 = M_{10}^8 V_6^w \quad \text{with} \quad V_6^w \equiv \int d^6 z f(z)$$

- The warp factor generate hierarchy of 4D scales:

$$S_H^{(p)} = -\frac{1}{2} \int d^4 x \int d^{p-4} z \sqrt{-g} f^{1/2} [(\partial H)^2 + \frac{1}{f^{1/2}} M^2 H^2]$$

if a scalar field is localized in a region with warp factor $f_0^{-1/2}$, then its mass is suppressed (wrt a 10D mass M) to $f_0^{-1/4} M$.

D3-branes background on 6D torus

- The 4D reduced Planck mass M_4 is given by:

$$M_4^2 = M_{10}^8 V_6^w \quad \text{with} \quad V_6^w \equiv \int d^6 z f(z)$$

- The warp factor generate hierarchy of 4D scales:

$$S_H^{(p)} = -\frac{1}{2} \int d^4 x \int d^{p-4} z \sqrt{-g} f^{1/2} [(\partial H)^2 + \frac{1}{f^{1/2}} M^2 H^2]$$

if a scalar field is localized in a region with warp factor $f_0^{-1/2}$, then its mass is suppressed (wrt a 10D mass M) to $f_0^{-1/4} M$.

Warped compactifications on CY

In IIB compactifications on conformal CY [Giddings-Kachru-Polchinski].

$$ds^2 = e^{-4A(z)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{4A(z)} ds_{CY}^2$$

- Take CY with conical sing and deform it. [Klebanov-Strassler]
Put M fluxes of RR F_3 and K of NS H_3 on the 3-cycles of the conifold. Near the sing, the geometry is $AdS_5 \times T^{1,1}$ (up to log-corrections) with $L^4 = 4\pi g_s MK\alpha'^2$ and:

$$e^{-4A_{\min}} \sim e^{-2\pi M/3Kg_s}$$

⇒ the throat is not infinite.

Warped compactifications on CY

In IIB compactifications on conformal CY [Giddings-Kachru-Polchinski].

$$ds^2 = e^{-4A(z)} \eta_{\mu\nu} dx^\mu dx^\nu + e^{4A(z)} ds_{CY}^2$$

- Take **CY with conical sing** and deform it. [Klebanov-Strassler]
Put M fluxes of RR F_3 and K of NS H_3 on the 3-cycles of the conifold. Near the sing, the geometry is $AdS_5 \times T^{1,1}$ (up to log-corrections) with $L^4 = 4\pi g_s MK\alpha'^2$ and:

$$e^{-4A_{\min}} \sim e^{-2\pi M/3Kg_s}$$

⇒ the **throat is not infinite**.

Relation to 5D models

The throat resembles the situation in a slice of AdS_5 :

- There is a warp factor depending on extra dimensional coordinate and that generates hierarchy of 4D scales.
- The role of UV -brane is played by the bulk compact manifold (where $e^{-4A} \sim 1$).
- There are string mechanisms to end the throat at $r_0 > 0$, avoiding divergent warp factor. The IR -brane is associated with $r = r_0$.

Can the other features of 5D models be found in a string setup?

Relation to 5D models

The throat resembles the situation in a slice of AdS_5 :

- There is a warp factor depending on extra dimensional coordinate and that generates hierarchy of 4D scales.
- The role of UV -brane is played by the bulk compact manifold (where $e^{-4A} \sim 1$).
- There are string mechanisms to end the throat at $r_0 > 0$, avoiding divergent warp factor. The IR -brane is associated with $r = r_0$.

Can the other features of 5D models be found in a string setup?

The Model - Introduction

- We take a *D3* background to generate the **warping**.
- We put the matter on *D7* branes \rightarrow 4 **extra dimensions**.
- We simulate the bulk mass terms by turning on **instantons** background on the 4dim euclidean extradim space
 \rightarrow the **fermion zero modes** are **localized** around the instantons positions.
- **Yukawa hierarchy** generated by different overlap of the fermion profiles with the Higgs.

The Model

- We put N **D3-branes** in flat 10D flat spacetime \Rightarrow **warping** and **splitting** of 10D metric.
- Interest in what happens in the **throat** \leftrightarrow we consider regions where $r < L$.
- To introduce matter fields: **D7-branes** with **SYM theory** living on the **8-dim** worldvolume:
 - ★ The metric is induced by the **D3** one \rightarrow **warped** product of (3,1) minkowski space and (4) euclidean space:

$$ds^2 = \frac{1}{f(r)^{1/2}} \eta_{\mu\nu} dx^\mu dx^\nu + f(r)^{1/2} \delta_{\alpha\beta} dz^\alpha dz^\beta \quad f(r) = \frac{L^4}{r^4}$$

with $(\alpha, \beta = 1, \dots, 4)$ and $r^2 \equiv z_1^2 + \dots + z_4^2$.

The Model

- We put N **D3-branes** in flat 10D flat spacetime \Rightarrow **warping** and **splitting** of 10D metric.
- Interest in what happens in the **throat** \leftrightarrow we consider regions where $r < L$.
- To introduce matter fields: **D7-branes** with **SYM theory** living on the **8-dim** worldvolume:
 - ★ The metric is induced by the **D3** one \rightarrow **warped** product of **(3,1)** minkowski space and **(4)** euclidean space:

$$ds^2 = \frac{1}{f(r)^{1/2}} \eta_{\mu\nu} dx^\mu dx^\nu + f(r)^{1/2} \delta_{\alpha\beta} dz^\alpha dz^\beta \quad f(r) = \frac{L^4}{r^4}$$

with $(\alpha, \beta = 1, \dots, 4)$ and $r^2 \equiv z_1^2 + \dots + z_4^2$.

- ★ We are left with an **8D SYM theory** with bosonic action:

$$S_{D7} = -\frac{1}{2g^2} \int d^8 X \sqrt{-G} \text{Tr} (F \wedge *_8 F - F \wedge F \wedge C_4)$$

G_{MN} and C_4 are the $D3$ background induced on the $D7$ worldvolume.

- ★ We turn on **background gauge field**, living only in (4)-space



EOM's of the 8D warped theory gives 4D gauge field:

$$*_4 F = -F$$

⇒ **Instanton** anti-selfduality condition.

- ★ We are left with an **8D SYM theory** with bosonic action:

$$S_{D7} = -\frac{1}{2g^2} \int d^8 X \sqrt{-G} \text{Tr} (F \wedge *_8 F - F \wedge F \wedge C_4)$$

G_{MN} and C_4 are the $D3$ background induced on the $D7$ worldvolume.

- ★ We turn on **background gauge field**, living only in (4)-space



EOM's of the 8D warped theory gives 4D gauge field:

$$*_4 F = -F$$

⇒ **Instanton** anti-selfduality condition.

Change of the radial coordinate.

- In the **throat** the $D7$ metric is given by:

$$ds^2 = \frac{r^2}{L^2} dx^2 + \frac{L^2}{r^2} (dr^2 + r^2 d\Omega_3)$$

- Make the **change of coordinate**: $r = Le^{-y/L}$:

$$ds^2 = e^{-2\kappa y} dx^2 + dy^2 + L^2 d\Omega_3$$

The warp factor is $e^{-2\kappa y}$.

- r coord \rightarrow the extradim metric is conformally flat and the instanton results can be used.
- y coord \rightarrow the metric resembles that one of the RS 5D models.

Change of the radial coordinate.

- In the **throat** the $D7$ metric is given by:

$$ds^2 = \frac{r^2}{L^2} dx^2 + \frac{L^2}{r^2} (dr^2 + r^2 d\Omega_3)$$

- Make the **change of coordinate**: $r = Le^{-y/L}$:

$$ds^2 = e^{-2\kappa y} dx^2 + dy^2 + L^2 d\Omega_3$$

The warp factor is $e^{-2\kappa y}$.

- r coord \rightarrow the extradim metric is conformally flat and the instanton results can be used.
- y coord \rightarrow the metric resembles that one of the RS 5D models.

Outline

- 1 5D Models
- 2 **String Theory Warped Models**
 - String realization of warped models
 - **Fermion zero modes**
 - Two realizations of Yukawa couplings
- 3 Conclusions

Fermion zero modes in "warped instanton solution"

In the $D7$ -brane spectrum \rightarrow 8dim fermions satisfying:

$$\mathcal{D}_8 \Psi = 0$$

Under the splitting of the 8D space, it becomes:

$$(\mathcal{D}_{3,1} + \mathcal{D}_4) \sum_k \chi_k(x) \otimes \psi_k(y)$$

Written in terms of (flat) $\tilde{\mathcal{D}}_{3,1}$ and $\tilde{\mathcal{D}}_4$, and of the warp factor:

$$\mathcal{D}_8 = f^{1/4} \tilde{\mathcal{D}}_{3,1} + \frac{1}{f^{1/4}} \tilde{\mathcal{D}}_4 - \frac{1}{8f^{1/4}} \frac{f'}{f} \gamma_r$$

Massless fermions in $(3, 1)$ dim \leftrightarrow zero modes of $(\tilde{\mathcal{D}}_4 - \frac{f'}{8f} \gamma_r)$:

$$\psi \propto f^{1/8} \tilde{\psi}.$$

Fermion zero modes in "warped instanton solution"

In the $D7$ -brane spectrum \rightarrow 8dim fermions satisfying:

$$\mathcal{D}_8 \Psi = 0$$

Under the splitting of the 8D space, it becomes:

$$(\mathcal{D}_{3,1} + \mathcal{D}_4) \sum_k \chi_k(x) \otimes \psi_k(y)$$

Written in terms of (flat) $\tilde{\mathcal{D}}_{3,1}$ and $\tilde{\mathcal{D}}_4$, and of the warp factor:

$$\mathcal{D}_8 = f^{1/4} \tilde{\mathcal{D}}_{3,1} + \frac{1}{f^{1/4}} \tilde{\mathcal{D}}_4 - \frac{1}{8f^{1/4}} \frac{f'}{f} \gamma_r$$

Massless fermions in $(3, 1)$ dim \leftrightarrow zero modes of $(\tilde{\mathcal{D}}_4 - \frac{f'}{8f} \gamma_r)$:

$$\psi \propto f^{1/8} \tilde{\psi}.$$

Fermion zero modes in "warped instanton solution"

Fermion zero mode profile:

$$\psi = d_\psi f^{1/8} \tilde{\psi}$$

- $\tilde{\psi}$ is the zero mode of the operator \tilde{D}_4 , i.e. the **instanton fermion zero modes**.
- d_ψ is a constant, set by requiring 4D canonically normalized kinetic term.

Instanton fermion zero modes $\tilde{\psi}$

Instanton solutions found with ADHM construction. Take $SU(2)$ inst.

- The $SU(2)$ gauge field is written in terms of a $(2 + 2k) \times 2$ matrix $v(z)$:

$$A_\mu(z) = v(z)^\dagger \partial_\mu v(z)$$

- One gets self-dual field strength if $v(z)$ satisfies the **algebraic equations**:

$$v(z)^\dagger v(z) = 1$$

$$v(z)^\dagger \Delta(z) = 0$$

where $\Delta(z) \equiv a - bz$ and a, b are $(2 + 2k) \times 2k$ matrices that contain the **moduli of the instantonic configuration** ($8k$), and

$$\Delta(z)^\dagger \Delta(z) = s^{-1}(z) \otimes \mathbf{1}_2$$

Instanton fermion zero modes $\tilde{\psi}$

't Hooft solution.

- Particular form for a and b :

$$a = \begin{pmatrix} \rho_i \mathbf{1}_2 \\ \delta_{ji} \mathbf{Z}_i \end{pmatrix} \quad b = \begin{pmatrix} 0 \\ \mathbf{1}_{2k} \end{pmatrix}$$

- This reduces the number of moduli to $5k$: Z_i^m and ρ_i ($i = 1, \dots, k$ and $m = 1, \dots, 4$).
- There are asymptotic regions of the parameters space where the multi-instanton configurations can be identified as being composed of **well-separated single instantons**, *i.e.* when

$$\rho_i \rho_j \ll (Z_i - Z_j)^2 \quad \forall i \neq j$$

$\Rightarrow Z_i$'s become the **positions** of the k instantons, while the ρ_i 's are their **sizes**.

Instanton fermion zero modes $\tilde{\psi}$

't Hooft solution.

- Particular form for a and b :

$$a = \begin{pmatrix} \rho_i \mathbf{1}_2 \\ \delta_{ji} \mathbf{Z}_i \end{pmatrix} \quad b = \begin{pmatrix} 0 \\ \mathbf{1}_{2k} \end{pmatrix}$$

- This reduces the number of moduli to $5k$: Z_i^m and ρ_i ($i = 1, \dots, k$ and $m = 1, \dots, 4$).
- There are asymptotic regions of the parameters space where the multi-instanton configurations can be identified as being composed of **well-separated single instantons**, *i.e.* when

$$\rho_i \rho_j \ll (Z_i - Z_j)^2 \quad \forall i \neq j$$

$\Rightarrow Z_i$'s become the **positions** of the k instantons, while the ρ_i 's are their **sizes**.

Instanton fermion zero modes $\tilde{\psi}$

- In the 't Hooft solution, the fermion zero modes are given by:

$$(v^\dagger b s)_i(z) = \left[1 + \sum_{\ell=1}^k \frac{\rho_\ell^2}{(z - Z_\ell)^2} \right]^{-3/2} \frac{\rho_i}{(z - Z_i)^2} \times \\ \times \left\{ \left[1 + \sum_{\ell=1}^k \frac{\rho_\ell^2}{(z - Z_\ell)^2} \right] \frac{z - Z_i}{(z - Z_i)^2} - \sum_{j=1}^k \frac{\rho_j^2}{(z - Z_j)^4} (z - Z_j) \right\}$$

where $i = 1, \dots, k$.

- In the limit of well separated k instantons:

$$\tilde{\psi}_i(z) \sim \frac{\rho_i}{(\rho_i^2 + (z - Z_i)^2)^{3/2}}$$

Localization!

The profile $\tilde{\psi}_i$ is localized around Z_i in a region of size ρ_i .

Instanton fermion zero modes $\tilde{\psi}$

- In the 't Hooft solution, the fermion zero modes are given by:

$$(v^\dagger b s)_i(z) = \left[1 + \sum_{\ell=1}^k \frac{\rho_\ell^2}{(z - Z_\ell)^2} \right]^{-3/2} \frac{\rho_i}{(z - Z_i)^2} \times \left\{ \left[1 + \sum_{\ell=1}^k \frac{\rho_\ell^2}{(z - Z_\ell)^2} \right] \frac{z - Z_i}{(z - Z_i)^2} - \sum_{j=1}^k \frac{\rho_j^2}{(z - Z_j)^4} (z - Z_j) \right\}$$

where $i = 1, \dots, k$.

- In the limit of **well separated** k **instantons**:

$$\tilde{\psi}_i(z) \sim \frac{\rho_i}{(\rho_i^2 + (z - Z_i)^2)^{3/2}}$$

Localization!

The profile $\tilde{\psi}_i$ is localized around Z_i in a region of size ρ_i .

Normalization Constant d_ψ

- Consider the 8D kinetic term:

$$\begin{aligned} & - \int d^8 X \sqrt{-G} G^{\mu\nu} \bar{\Psi} \Gamma_\mu \partial_\nu \Psi + \dots \\ & = - \int d^4 z f(z)^{1/4} \psi(z)^\dagger \psi(z) \int d^4 x \eta^{\mu\nu} \bar{\chi}(x) \gamma_\mu \partial_\nu \chi(x) + \dots \end{aligned}$$

Canonically normalized (3,1)D kinetic term implies:

$$d_\psi^2 \int d^4 z f^{1/2}(z) \tilde{\psi}(z)^\dagger \tilde{\psi}(z) = 1 \quad \text{where} \quad \psi = d_\psi f^{1/8} \tilde{\psi}$$

- In regions of large warping and in the limit of well separated inst:

$$d_\psi = \sqrt{\frac{\rho^2}{L^2} + \frac{|Z|^2}{L^2}} \sim \frac{|Z|}{L} \equiv e^{-\kappa Y_\psi}$$

Normalization Constant d_ψ

- Consider the 8D kinetic term:

$$\begin{aligned} & - \int d^8 X \sqrt{-G} G^{\mu\nu} \bar{\Psi} \Gamma_\mu \partial_\nu \Psi + \dots \\ & = - \int d^4 z f(z)^{1/4} \psi(z)^\dagger \psi(z) \int d^4 x \eta^{\mu\nu} \bar{\chi}(x) \gamma_\mu \partial_\nu \chi(x) + \dots \end{aligned}$$

Canonically normalized (3,1)D kinetic term implies:

$$d_\psi^2 \int d^4 z f^{1/2}(z) \tilde{\psi}(z)^\dagger \tilde{\psi}(z) = 1 \quad \text{where} \quad \psi = d_\psi f^{1/8} \tilde{\psi}$$

- In regions of large warping and in the limit of well separated inst:

$$d_\psi = \sqrt{\frac{\rho^2}{L^2} + \frac{|Z|^2}{L^2}} \sim \frac{|Z|}{L} \equiv e^{-\kappa Y_\psi}$$

Outline

- 1 5D Models
- 2 **String Theory Warped Models**
 - String realization of warped models
 - Fermion zero modes
 - **Two realizations of Yukawa couplings**
- 3 Conclusions

Yukawa Couplings. A simple example.

Higgs field

The simplest choice for the Higgs $\rightarrow (3,1)$ field localized at some point Z_H in the extradim

\Rightarrow whatever its string nature is, it can be effectively represented with a δ -function in the (4)-space.

- This choice is similar to the simplest 5D proposal for the Higgs.
- The large ratio between the Higgs and the Plank masses is realised putting the Higgs in the throat:

$$e^{-\kappa Y_H} = \frac{M_H}{M_{Pl}} \iff \kappa Y_H \sim 37$$

Yukawa Couplings. A simple example.

Normalization of the Higgs.

- Take 8D kinetic term:

$$\begin{aligned} & - \int d^8 x \sqrt{-\hat{G}_{3,1}} G^{\mu\nu} d_H^2 \partial_\mu H(x) \partial_\nu H(x) \delta(\vec{Z} - \vec{Z}_H) = \\ & = -d_H^2 f(|\vec{Z}_H|)^{1/2} \int d^4 x \partial_\mu H(x) \partial^\mu H(x) \end{aligned}$$

- Requiring canonically normalized kinetic term:

$$d_H = e^{\kappa Y_H}$$

Yukawa Couplings. A simple example.

4D Yukawa coupling obtained by dim reduction of the 8D one:

$$\begin{aligned} \int d^8x \sqrt{-\hat{G}_{3,1}} \lambda^{(8)} d_H \bar{\Psi} \Psi H \delta(\vec{z} - \vec{Z}_H) &= \\ &= \lambda^{(8)} d_H f(|\vec{Z}_H|)^{-1} \psi(\vec{Z}_H)^2 \int d^4x \bar{\chi}(x) \chi(x) H(x) \end{aligned}$$

$$\Rightarrow \quad \lambda = \lambda^{(8)} d_H \left. \frac{\psi^2}{f} \right|_{Z_H}$$

Yukawa Couplings. A simple example.

- Substitute the expressions for ψ , f and d_H :

$$\lambda = \lambda^{(8)} e^{-2\kappa(Y_H + Y_\psi)} \frac{\rho^2}{[\rho^2 + (\vec{Z}_H - \vec{Z}_\psi)^2]^3}$$

- To get the maximal Yukawa coupling (top) $\Rightarrow \vec{Z}_\psi = \vec{Z}_H$:

$$\lambda = \frac{\lambda^{(8)}}{\rho^4} e^{-4\kappa Y_H}$$

Notice: ρ is the parameter controlling the instanton size in flat (4)-space, but is not a physical distance in the actual extradim space.

Yukawa Couplings. A simple example.

- Substitute the expressions for ψ , f and d_H :

$$\lambda = \lambda^{(8)} e^{-2\kappa(Y_H + Y_\psi)} \frac{\rho^2}{[\rho^2 + (\vec{Z}_H - \vec{Z}_\psi)^2]^3}$$

- To get the **maximal Yukawa coupling** (top) $\Rightarrow \vec{Z}_\psi = \vec{Z}_H$:

$$\lambda = \frac{\lambda^{(8)}}{\rho^4} e^{-4\kappa Y_H}$$

Notice: ρ is the parameter controlling the instanton size in flat (4)-space, but is not a physical distance in the actual extradim space.

Yukawa Couplings. A simple example.

Physical size:

$$\rho_{\text{phys}} = \int_{|\bar{Z}_\psi| - \frac{\rho}{2}}^{|\bar{Z}_\psi| + \frac{\rho}{2}} ds = \int_{|\bar{Z}_\psi| - \frac{\rho}{2}}^{|\bar{Z}_\psi| + \frac{\rho}{2}} f^{1/4}(r) dr \simeq e^{\kappa Y_\psi} \rho$$

The last result is obtained in the limit $\rho \ll |Z_\psi|$.

Substituting in expression for λ :

The Yukawa coupling for fermions on "*IR* brane":

$$\lambda = \frac{\lambda^{(8)}}{\rho_{\text{phys}}^4}$$

Yukawa Couplings. A simple example.

Physical size:

$$\rho_{\text{phys}} = \int_{|\bar{Z}_\psi| - \frac{\rho}{2}}^{|\bar{Z}_\psi| + \frac{\rho}{2}} ds = \int_{|\bar{Z}_\psi| - \frac{\rho}{2}}^{|\bar{Z}_\psi| + \frac{\rho}{2}} f^{1/4}(r) dr \simeq e^{\kappa Y_\psi} \rho$$

The last result is obtained in the limit $\rho \ll |Z_\psi|$.

Substituting in expression for λ :

The Yukawa coupling for fermions on "*IR* brane":

$$\lambda = \frac{\lambda^{(8)}}{\rho_{\text{phys}}^4}$$

Yukawa Couplings. A simple example.

The Yukawa coupling for fermions on "*IR* brane":

$$\lambda = \frac{\lambda^{(8)}}{\rho_{\text{phys}}^4}$$

Take $\lambda^{(8)} \sim \ell^4$.

- When $\rho_{\text{phys}} \sim \ell \Rightarrow \lambda \sim 1$: *top Yukawa coupling*.
- When $\rho_{\text{phys}} > \ell \Rightarrow \lambda < 1$: *smaller Yukawa couplings*.

Yukawa Couplings. A simple example.

Hierarchically smaller Yukawa couplings.

- Move the **fermion zero mode far from the Higgs**: $Y_\psi < Y_H$.
- Keep $\rho \lesssim X$, where we defined $X \equiv |Z_\psi - Z_H|$.
- Impose these conditions on $\lambda = \lambda^{(8)} e^{-2\kappa(Y_H + Y_\psi)} \frac{\rho^2}{[\rho^2 + (\bar{Z}_H - \bar{Z}_\psi)^2]^3}$
- We get:

$$\lambda = \lambda^{(8)} e^{-2\kappa(Y_H + Y_\psi)} \frac{\rho^2}{X^6}$$

We can rewrite it in a more readable form



Yukawa Couplings. A simple example.

Hierarchically smaller Yukawa couplings.

- Move the **fermion zero mode far from the Higgs**: $Y_\psi < Y_H$.
- Keep $\rho \lesssim X$, where we defined $X \equiv |Z_\psi - Z_H|$.
- Impose these conditions on $\lambda = \lambda^{(8)} e^{-2\kappa(Y_H + Y_\psi)} \frac{\rho^2}{[\rho^2 + (\bar{Z}_H - \bar{Z}_\psi)^2]^3}$
- We get:

$$\lambda = \lambda^{(8)} e^{-2\kappa(Y_H + Y_\psi)} \frac{\rho^2}{X^6}$$

We can rewrite it in a more readable form



Yukawa Couplings. A simple example.

The Yukawa coupling for fermions far from "*IR* brane":

$$\lambda = \frac{\lambda^{(8)}}{\rho_{phys}^4} \frac{\rho^6}{X^6} e^{-2\kappa(Y_H - Y_\psi)}$$

- It is **hierarchically smaller** than the top Yukawa:

↪ Electron Yuk $\lambda_e \sim 10^{-6}$

- e.g. $\frac{\rho}{X} \lesssim 1$ and $\kappa Y_\psi \sim 30$;
- e.g. $\frac{\rho}{X} \sim \frac{1}{10}$ and $Y_\psi \sim Y_H$.

↪ Neutrino Yuk $\lambda_\nu \sim 10^{-16}$

- e.g. $\frac{\rho}{X} \sim \frac{1}{10}$ and $\kappa Y_\psi \sim 22$;
- e.g. $\frac{\rho}{X} \lesssim 1$ and $\kappa Y_\psi \sim 15$.

($\kappa Y_H \sim 37$)

The Higgs as a **vector zero mode**

- Take the 8D kinetic term: $\int d^8 X \sqrt{-G} \bar{\Psi} \not{D} \Psi$.
- It contains the term

$$g \int d^8 X \sqrt{-G} \bar{\Psi} \delta A \Psi \supset \int d^4 x \bar{\chi}_i(x) \chi_j(x) H_k(x) \times g \int d^4 z \psi_i^\dagger(z) \delta a_k(z) \psi_j(z)$$

where we split the fermions as above and the vectors as:

$$A(x, z)_m dy^m = A_{bkg}(z) + \sum_k H^k(x) \delta a_k(z)$$

The **Higgs** is a zero mode of the vector field.

The effective **Yukawa coupling** in the (3,1)-theory is:

$$\lambda_{ij} = g \int d^4 z \psi_i^\dagger(z) \Phi_H(z) \psi_j(z).$$

The Higgs as a vector zero mode

- Take the 8D kinetic term: $\int d^8 X \sqrt{-G} \bar{\Psi} \not{D} \Psi$.
- It contains the term

$$g \int d^8 X \sqrt{-G} \bar{\Psi} \delta A \Psi \supset \int d^4 x \bar{\chi}_i(x) \chi_j(x) H_k(x) \times g \int d^4 z \psi_i^\dagger(z) \delta a_k(z) \psi_j(z)$$

where we split the fermions as above and the vectors as:

$$A(x, z)_m dy^m = A_{bkg}(z) + \sum_k H^k(x) \delta a_k(z)$$

The Higgs is a zero mode of the vector field.

The effective Yukawa coupling in the (3,1)-theory is:

$$\lambda_{ij} = g d_{\psi_i} d_{\psi_j} \int d^4 z \tilde{\psi}_i^\dagger(z) \Phi_H(z) \tilde{\psi}_j(z).$$

The Higgs as a **vector zero mode**

- Take the **8D kinetic term**: $\int d^8 X \sqrt{-G} \bar{\Psi} \not{D} \Psi$.
- It contains the term

$$g \int d^8 X \sqrt{-G} \bar{\Psi} \delta A \Psi \supset \int d^4 x \bar{\chi}_i(x) \chi_j(x) H_k(x) \times g \int d^4 z \psi_i^\dagger(z) \delta a_k(z) \psi_j(z)$$

where we split the fermions as above and the vectors as:

$$A(x, z)_m dy^m = A_{bkg}(z) + \sum_k H^k(x) \delta a_k(z)$$

The **Higgs** is a zero mode of the vector field.

The effective **Yukawa coupling** in the (3,1)-theory is:

$$\lambda_{ij} = g d_{\psi_i} d_{\psi_j} \int d^4 z \tilde{\psi}_i^\dagger(z) \Phi_H(z) \tilde{\psi}_j(z).$$

The Higgs as a vector zero mode

Come back to instantons in flat (4)-space.

- Choose the zero mode associated with the translation of one of the k instantons ('t Hooft solution).
- Take the approximation of well separated instantons.
- Write the **zero mode** around its absolute maximum:

$$\tilde{\Phi}_H(z) \sim \frac{\rho_H^2}{(\rho_H^2 + (z - Z_H)^2)^2}$$

- We see again **localization**.

The Higgs as a vector zero mode

Put the Higgs inst in warped background.

Normalization.

↪ From 8D kinetic term:

$$\int d^8 X \sqrt{-G} G^{\mu\nu} G^{mn} \partial_\mu \delta A_m \partial_\nu \delta A_n \rightarrow d_H^2 \int d^4 z \tilde{\Phi}_H(z)^2 \int d^4 x (\partial H)^2$$

⇒ $d_H \sim 1$ (not affected by warping) and $\Phi_H \sim \tilde{\Phi}_H$.

The Higgs as a vector zero mode

The Yukawa couplings are given by

$$\lambda_{ij} = g d_{\psi_i} d_{\psi_j} \int d^4 z \tilde{\psi}_i^\dagger(z) \Phi_H(z) \tilde{\psi}_j(z).$$

- Take $k = 2$.
- The fermions are zero modes associated with one instanton, while the Higgs with the other one.
- Substitute the expressions for zero modes of $k = 2$ instanton background ('t Hooft).
- **Parameters:** ρ_H, ρ_ψ, Z_1 and Z_H . Define $X = |Z_\psi - Z_H|$.

The Higgs as a vector zero mode

- To get maximal Yukawa coupling (top) $\Rightarrow Z_\psi = Z_H$ and $\rho_\psi \sim \rho_H$:

$$\lambda \sim \frac{g}{\rho_H^2} e^{-2\kappa Y_H}$$

- Substitute the physical size:

The Yukawa coupling for fermions on "*IR* brane":

$$\lambda = \frac{g}{\rho_{H\text{phys}}^2}$$

The Higgs as a vector zero mode

- To get maximal Yukawa coupling (top) $\Rightarrow Z_\psi = Z_H$ and $\rho_\psi \sim \rho_H$:

$$\lambda \sim \frac{g}{\rho_H^2} e^{-2\kappa Y_H}$$

- Substitute the physical size:

The Yukawa coupling for fermions on "*IR* brane":

$$\lambda = \frac{g}{\rho_{H\text{phys}}^2}$$

The Higgs as a vector zero mode

- **Yukawa hierarchy** → obtained by varying the instanton parameters to have different overlaps of the zero modes.
- One can approximately compute the integral giving the Yukawa's in other asymptotic regions of the parameter space:

<i>limits</i>	$g \int d^4 z \tilde{\psi}_i^\dagger(z) \Phi_H(z) \tilde{\psi}_j(z)$
$\rho_H \sim \rho_\psi \ll X$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{X}\right)^3$
$\rho_H \ll \rho_\psi \sim X$	$\frac{g}{\rho_H} \left(\frac{\rho_H}{X}\right)^2$
$\rho_H \ll \rho_\psi \ll X$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{X}\right)^2 \left(\frac{\rho_\psi}{X}\right)^2 \left[1 + \frac{X}{\rho_\psi} \left(\frac{\rho_H}{\rho_\psi}\right)^2\right]$
$\rho_H \ll X \ll \rho_\psi$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{\rho_\psi}\right)^4 \left[1 + \left(\frac{X}{\rho_H}\right)^2 \left(\frac{X}{\rho_\psi}\right)^2\right]$
$X \lesssim \rho_H \ll \rho_\psi$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{\rho_\psi}\right)^4$

The Higgs as a vector zero mode

- **Yukawa hierarchy** → obtained by varying the instanton parameters to have different overlaps of the zero modes.
- One can approximately compute the integral giving the Yukawa's in other asymptotic regions of the parameter space:

<i>limits</i>	$g \int d^4 z \tilde{\psi}_i^\dagger(z) \Phi_H(z) \tilde{\psi}_j(z)$
$\rho_H \sim \rho_\psi \ll X$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{X}\right)^3$
$\rho_H \ll \rho_\psi \sim X$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{X}\right)^2$
$\rho_H \ll \rho_\psi \ll X$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{X}\right)^2 \left(\frac{\rho_\psi}{X}\right)^2 \left[1 + \frac{X}{\rho_\psi} \left(\frac{\rho_H}{\rho_\psi}\right)^2\right]$
$\rho_H \ll X \ll \rho_\psi$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{\rho_\psi}\right)^4 \left[1 + \left(\frac{X}{\rho_H}\right)^2 \left(\frac{X}{\rho_\psi}\right)^2\right]$
$X \lesssim \rho_H \ll \rho_\psi$	$\frac{g}{\rho_H^2} \left(\frac{\rho_H}{\rho_\psi}\right)^4$

The Higgs as a vector zero mode

Similar result as for the previous case:

The Yukawa coupling for fermions on "*IR* brane":

$$\lambda = \frac{g}{\rho_{\psi\text{phys}}^2} \left(\frac{\rho_H}{\rho_\psi} \right)^2$$

For $\rho_\psi \sim \rho_H \Rightarrow \lambda_{\text{top}} \sim 1$.

The Yukawa coupling for fermions far from "*IR* brane":

$$\begin{aligned} \frac{X}{\rho_\psi} \frac{\rho_H^2}{\rho_\psi^2} \gg 1 &\rightarrow \lambda = \frac{g}{\rho_{\psi\text{phys}}^2} \left(\frac{\rho_\psi}{X} \right)^4 \\ \frac{X}{\rho_\psi} \frac{\rho_H^2}{\rho_\psi^2} \ll 1 &\rightarrow \lambda = \frac{g}{\rho_{\psi\text{phys}}^2} \left(\frac{\rho_\psi}{X} \right)^3 e^{-2\kappa(Y_\psi - Y_H)} \end{aligned}$$

Hierarchically smaller Yukawa couplings.

Conclusions

The **HIERARCHY PROBLEM** is addressed.

Warped Compactification. The **Higgs** is localized deeply in the throat.

Localization of zero modes in the bulk

It is realized through an **instanton background**.

Fermion mass hierarchy

It is generated by varying **instanton parameters** (more than 5D):

- top Yukawa coupling \rightarrow top localized near the Higgs;
- very small Yukawa couplings \rightarrow fermion far from the Higgs.

Open problems and future directions

- How to get **chiral spectrum** (magnetic fluxes?).
- **Stabilization** of instanton moduli.
- Introduction of **SUSY**.
- String nature of 4D Higgs (simple example).
- Higgs as a scalar instanton zero mode.
- Change the **setup** (e.g. CY instead of T^6).