

**MANY BODY PHYSICS: 621. Spring 2024**

**Exercise 2. Anisotropic Superconductivity. (Due Apr 10th. Pdf solutions by email welcome.)**

1. Using Nambu notation, calculate the total density of states for a 2 dimensional d-wave superconductor with a BCS Hamiltonian given by

$$\begin{aligned}\hat{H} &= \sum_{\mathbf{k}} \psi_{\mathbf{k}}^{\dagger} \mathcal{H}(\mathbf{k}) \psi_{\mathbf{k}}, \\ \mathcal{H}(\mathbf{k}) &= (\epsilon_{\mathbf{k}} \tau_3 + \Delta_{\mathbf{k}} \tau_1),\end{aligned}\tag{1}$$

where  $\psi_{\mathbf{k}}^{\dagger} = (c_{\mathbf{k}\uparrow}^{\dagger}, c_{-\mathbf{k}\downarrow})$ ,  $\epsilon_{\mathbf{k}} = (k^2/2m) - \mu$  is the kinetic energy,  $\Delta_{\mathbf{k}} = \Delta_D \cos 2\phi$ , where  $\phi$  is the angular polar co-ordinate in 2D (i.e.  $\mathbf{k} = k(\cos \phi, \sin \phi)$ ).

- (a) Write down an explicit expression for the Nambu propagator  $G(\mathbf{k}, E) = (E - \mathcal{H}(\mathbf{k}))^{-1}$ .  
 (b) Calculate the density of states

$$N(E) = \frac{1}{\pi} \sum_{\mathbf{k}} \text{Im}(\text{Tr}[G(\mathbf{k}, E - i\delta)]).\tag{2}$$

By sending the limits of energy integration to infinity, show that a good approximation to the density of states is  $N(E) = \text{Re}\mathcal{N}(z)|_{z=E-i\delta}$ , where

$$\mathcal{N}(z) = \frac{2N(0)}{\pi} \text{Re} \left[ K \left( \frac{\Delta_D^2}{z^2} \right) \right]\tag{3}$$

is an analytic function and

$$K(z) = \int_0^{\pi/2} \frac{d\phi}{\sqrt{1 - z^2 \sin^2 \phi}}\tag{4}$$

is the complete elliptic integral of the first kind.

- (c) What is the branch-cut structure of  $\mathcal{N}(z)$ ? What is the equivalent expression for an s-wave superconductor with an isotropic gap  $\Delta_S$ ?  
 (d) The specific heat capacity  $C_V(T)$  at temperature  $T$ , of a system with a density of state  $N(E)$  is given by the first moment of the energy fluctuations, divided by the temperature,

$$C_V(T) = \frac{1}{T} \overline{N(E)E^2}\tag{5}$$

where

$$\overline{A(E)} = \int dE \left( -\frac{\partial f(E)}{\partial E} \right) A(E)$$

denotes the thermal average, where  $f(E) = [1 + e^{\beta E}]^{-1}$  is the Fermi function. (This approximation ignores the temperature dependence of the gap). Contrast the *low-temperature* (i.e.  $T \ll \Delta$ ) dependence of the specific heat of a clean d- and s-wave superconductor.

- (e) What do you expect for the low temperature dependence of the specific heat in a “dirty” d-wave superconductor, in which electrons scatter off non-magnetic impurities? To answer this question, note that the effect of disorder on a d-wave superconductor is to introduce a finite scattering rate to the electrons. Qualitatively, the density of states of a dirty d-wave superconductor can be approximated by replacing the infinitesimal imaginary part used in calculating  $\mathcal{N}(E - i\delta)$ , by a finite imaginary part representing the scattering rate  $\tau^{-1} = 2\Gamma$ , i.e.  $\delta \rightarrow \Gamma$ . To answer this question, first plot  $\text{Re}\mathcal{N}(E - i\Gamma)$  for a set of  $\Gamma/\Delta$ .
- (f) The trick of replacing  $E - i\delta \rightarrow E - i\Gamma$  does not work for an s-wave superconductor under the influence of non-magnetic disorder. Why not?

2. In strontium titanate, superconductivity persists at carrier densities where the Fermi temperature becomes less than the Debye energy  $\omega_D$ . Modify the Anderson-Morel model for retardation to take this into account by assuming that the range of energies in the conduction band run from

$$-\epsilon_F < \epsilon_k < \Lambda \tag{6}$$

where  $\epsilon_F < \omega_D$  is the Fermi energy, and  $\Lambda \gg \omega_D$  is the upper band-width. Assume, as in the Anderson-Morel model, that the effective interaction between the electrons has the form

$$V_{eff}(\mathbf{k}, \mathbf{k}') = N(0)^{-1} \begin{cases} \mu - \lambda & (|\epsilon_{\mathbf{k}}|, |\epsilon_{\mathbf{k}'}| < \omega_D) \\ \mu & \text{otherwise.} \end{cases} \tag{7}$$

Assume a gap equation of the form

$$\Delta(\epsilon) = -N(0) \int_{-\epsilon_F}^{\Lambda} d\epsilon' V(\epsilon, \epsilon') \frac{\Delta(\epsilon')}{2E(\epsilon')} \tag{8}$$

where  $E(\epsilon) = \sqrt{\epsilon^2 + \Delta(\epsilon)^2}$ . Notice that the low carrier concentration suppresses the contribution of holes ( $\epsilon' < 0$ ) in the gap equation.

(a) Seeking a “two gap” solution

$$\Delta(\epsilon) = \begin{cases} \Delta_1 & (|\epsilon| < \omega_D) \\ \Delta_2 & (\omega_D < \epsilon < \Lambda) \end{cases} \quad (9)$$

show that the gap equation becomes

$$\begin{aligned} \Delta_1 &= (\lambda - \mu) \int_{-\epsilon_F}^{\omega_D} d\epsilon \frac{\Delta_1}{2\sqrt{\epsilon^2 + \Delta_1^2}} - \mu \int_{\omega_D}^{\Lambda} d\epsilon \frac{\Delta_2}{\sqrt{\epsilon^2 + \Delta_2^2}} \\ \Delta_2 &= -\mu \int_{-\epsilon_F}^{\omega_D} d\epsilon \frac{\Delta_1}{2\sqrt{\epsilon^2 + \Delta_1^2}} - \mu \int_{\omega_D}^{\Lambda} d\epsilon \frac{\Delta_2}{\sqrt{\epsilon^2 + \Delta_2^2}}. \end{aligned} \quad (10)$$

(b) Show that in this situation, the renormalization of the Coulomb interaction is halved (there are no virtual hole pairs)

$$\mu^* = \frac{\mu}{1 + \frac{\mu}{2} \ln\left(\frac{\Lambda}{\omega_D}\right)},$$

and show that provided  $\lambda - \mu^* > 0$ , a solution of the form

$$\begin{aligned} \Delta_1 &= 2\sqrt{\omega_D \epsilon_F} \exp\left[-\frac{1}{\lambda - \mu^*}\right] \\ \Delta_2 &= -\frac{\mu^*}{\lambda - \mu^*} \Delta_1 \end{aligned} \quad (11)$$

is obtained. We see that the retardation effects are weaker. If we put in representative values  $\mu = 1$ ,  $\Lambda/\omega_D = 10^2$ , in a large carrier density metal, we would get  $\mu^* = 0.13$ , but in the low carrier density metal we get  $\mu^* = 0.23$ .

3. Consider the effect of Zeeman splitting by a magnetic field on the A-phase of superfluid  $^3\text{He}$  with a d-vector that points along the z-axis. The BCS Hamiltonian for this situation is given by

$$H = \frac{1}{2} \sum_{\mathbf{k}} \psi_{\mathbf{k}}^\dagger (\epsilon_{\mathbf{k}} \tau_3 + \Delta_{\mathbf{k}} \sigma_3 \tau_1 - \vec{\sigma} \cdot \vec{B}) \psi_{\mathbf{k}} + \frac{\Delta^2}{g} V \quad (12)$$

where  $\psi_{\mathbf{k}}^\dagger = (c_{\mathbf{k}\uparrow}^\dagger, c_{\mathbf{k}\downarrow}^\dagger, -c_{-\mathbf{k}\downarrow}, c_{-\mathbf{k}\uparrow})$  is a Balian Werthammer spinor and  $\Delta_{\mathbf{k}} = \Delta(\hat{k}_x + i\hat{k}_y) = \Delta e^{i\phi} \cos(\theta)$  where  $\theta$  and  $\phi$  are the polar directions of the momentum vector  $\mathbf{k}$  and  $\epsilon_{\mathbf{k}} = \frac{k^2}{2M} - \mu$  is the kinetic energy. By considering the gap equation for this superfluid, show that a magnetic field along the z-direction suppresses  $T_c$  (Pauli limiting), but that a magnetic field in the basal plane, perpendicular to the d-vector has no effect.

- (a) Show that if the field is perpendicular to the d-vector  $\vec{B} = B\hat{x}$ , the energy eigenvalues are

$$\pm E_{\mathbf{k}\sigma} = \pm \sqrt{(\epsilon_{\mathbf{k}} - \sigma B)^2 + |\Delta_{\mathbf{k}}|^2}$$

so that in the gap equations, the application of a field perpendicular to the d-vector can be absorbed into a shift of the conduction electron energies.

- (b) Show that if the field is parallel to the d-vector ( $\vec{B} = B\hat{z}$ ), then the energy eigenvalues are

$$\pm E_{\mathbf{k}\sigma} = -\sigma B \pm \sqrt{\epsilon_{\mathbf{k}}^2 + |\Delta_{\mathbf{k}}|^2} = -\sigma B \pm E_{\mathbf{k}}, \quad (\sigma = \pm) \quad (13)$$

Use this result to construct the gap equation, demonstrating that at zero temperature, the critical field is about  $B_c \sim 1.75k_B T_c$ .