## INTRODUCTION TO MANY BODY PHYSICS: 620. Fall 2024

## Answers to Questions II. Sept 29

Here is an outline of the solutions.

1. (i) Expanding  $|\psi\rangle=|1111100\ldots\rangle=c^\dagger{}_5c^\dagger{}_4c^\dagger{}_3c^\dagger{}_2c^\dagger{}_1|0\rangle$  we obtain (in gory detail)

$$\begin{array}{rcl} c^{\dagger}{}_{3}c_{6}c_{4}c_{6}{}^{\dagger}c_{3}|\psi\rangle & = & c^{\dagger}{}_{3}c_{6}c_{4}c_{6}{}^{\dagger}c_{3}{}^{\dagger}{}_{5}c^{\dagger}{}_{4}c^{\dagger}{}_{3}c^{\dagger}{}_{2}c^{\dagger}{}_{1}|0\rangle \\ & = & c^{\dagger}{}_{3}c_{6}c_{4}c_{6}{}^{\dagger}c^{\dagger}{}_{5}c^{\dagger}{}_{4}c^{\dagger}{}_{2}c^{\dagger}{}_{1}|0\rangle \\ & = & -c^{\dagger}{}_{3}c_{4}c^{\dagger}{}_{5}c^{\dagger}{}_{4}c^{\dagger}{}_{2}c^{\dagger}{}_{1}|0\rangle \\ & = & +c^{\dagger}{}_{3}c^{\dagger}{}_{5}c^{\dagger}{}_{2}c^{\dagger}{}_{1}|0\rangle \\ & = & -c^{\dagger}{}_{5}c^{\dagger}{}_{3}c^{\dagger}{}_{2}c^{\dagger}{}_{1}|0\rangle = -|1110100\ldots\rangle. \end{array}$$

(ii) We may write

$$|11010011... = c_{8}^{\dagger}|11010010...\rangle = c_{8}^{\dagger}c_{7}^{\dagger}|11010000...\rangle$$

$$= c_{8}^{\dagger}c_{7}^{\dagger}c_{5}|11011000...\rangle$$

$$= c_{8}^{\dagger}c_{7}^{\dagger}c_{5}c_{3}|11111000...\rangle$$
(1)

This state can be interpreted as the creation of an electron in states 8 and 7 and a "hole" in states 3 and 5.

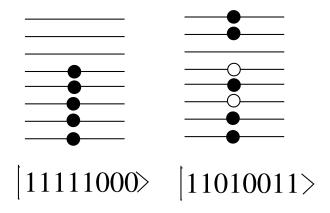


Figure 1:

(iii) To calculate 
$$\langle \psi | \hat{N} | \psi \rangle$$
, where  $\psi = A | 100 \dots \rangle + B | 111000 \dots \rangle$ , note that 
$$\hat{N} | \psi \rangle = A | 100 \dots \rangle + 3B | 111000 \dots \rangle, \tag{2}$$

so that  $\langle \psi | \hat{N} | \psi \rangle [|A|^2 + 3|B|^2]$ .

2. (i) We need to confirm that  $\{c_1, c^{\dagger}_1\} = \{c_2, c^{\dagger}_2\} = 1$  and also  $\{c_1, c_2\} = \{c_2^{\dagger}, c_1^{\dagger}\} = 0$ . Substituting for  $c_1$  and  $c_2$ , we obtain

$$\{c_1, c_2\} = \{ua_1 + va^{\dagger}_2, -va^{\dagger}_1 + ua_2\} = -uv\{a_1, a_1^{\dagger}\} + vu\{a^{\dagger}_2, a_2\} = 0,$$
(3)

and

$$\{c_1, c_1^{\dagger}\} = \{ua_1 + va_2^{\dagger}, u^*a_1^{\dagger} + v^*a_2\} = |u|^2 \{a_1, a_1^{\dagger}\} + |v|^2 \{a_2^{\dagger}, a_2\} = 1, \tag{4}$$

provided  $|u|^2 + |v|^2 = 1$ .

(ii) Consider  $H = \omega[c^{\dagger}_{1}c_{1} - c_{2}c^{\dagger}_{2}]$ , then if

$$\begin{pmatrix} c_1 \\ c^{\dagger}_2 \end{pmatrix} = \begin{pmatrix} u & v \\ -v & u \end{pmatrix} \begin{pmatrix} a_1 \\ a^{\dagger}_2 \end{pmatrix} = U \begin{pmatrix} a_1 \\ a^{\dagger}_2 \end{pmatrix}, \tag{5}$$

where (assuming u, v real) U is a unitary transformation, we may re-write H as

$$H = (c_1^{\dagger}, c_2) \begin{pmatrix} \omega & 0 \\ 0 & -\omega \end{pmatrix} \begin{pmatrix} c_1 \\ c_2^{\dagger} \end{pmatrix}$$

so that using (5)

$$H = (a_{1}^{\dagger}, a_{2})U^{\dagger} \begin{pmatrix} \omega & 0 \\ 0 & -\omega \end{pmatrix} U \begin{pmatrix} a_{1} \\ a_{2}^{\dagger} \end{pmatrix}$$

$$= (a_{1}^{\dagger}, a_{2}) \begin{pmatrix} \epsilon & \Delta \\ \Delta & -\epsilon \end{pmatrix} \begin{pmatrix} a_{1} \\ a_{2}^{\dagger} \end{pmatrix}$$

$$= \epsilon [a^{\dagger}_{1}a_{1} - a_{2}a^{\dagger}_{2}] + [\Delta a^{\dagger}_{1}a^{\dagger}_{2} + \text{H.c}]$$
(6)

where

$$\epsilon = \omega(u^2 - v^2), 
\Delta = \omega(2uv).$$
(7)

Squaring both expressions and adding the results, we obtain  $\omega = (\epsilon^2 + \Delta^2)^{\frac{1}{2}}$  and

$$u^{2} = \frac{1}{2} \left( 1 + \frac{\epsilon}{\omega} \right), \qquad v^{2} = \frac{1}{2} \left( 1 - \frac{\epsilon}{\omega} \right), \tag{8}$$

- (iii) The ground-state is annihilated by both  $c_1$  and  $c_2$ , so that if  $H = \omega[c^{\dagger}_1 c_1 + c^{\dagger}_2 c_2 1]$ , the ground-state energy is  $E_o = -\omega = -(\epsilon^2 + \Delta^2)^{\frac{1}{2}}$ .
- 3. Let us write our starting Hamiltonian in the form

$$H = -\sum_{j} (J_{x}S_{j+1}^{x}S_{j}^{x} + J_{y}S_{j+1}^{y}S_{j}^{y})$$

$$= -\sum_{i} \left\{ \frac{J_x + J_y}{4} \left( S_{j+1}^{+} S_j^{-} + \text{H.c.} \right) + \frac{J_x - J_y}{4} \left( S_{j+1}^{+} S_j^{+} + \text{H.c.} \right) \right\},$$
(9)

where  $S^{\pm} = S^x \pm iS^y$ . Using the Jordan Wigner transformation,

$$S_j^z = (c^{\dagger}{}_j cj - \frac{1}{2})$$

$$\mathbf{S}_j^+ = c^{\dagger}{}_j e^{i\pi \sum_{l < i} \hat{n}_l}, \tag{10}$$

we have

$$S_{j+1}^{+}S_{j}^{-} = c^{\dagger}{}_{j+1}c_{j}, S_{j+1}^{+}S_{j}^{+} = -c^{\dagger}{}_{j+1}c^{\dagger}{}_{j},$$

$$(11)$$

so that

$$H = -\sum_{j} t[c^{\dagger}_{j+1}c_{j} + \text{H.c}] - \sum_{j} \Delta[c^{\dagger}_{j+1}c^{\dagger}_{j} + \text{H.c}]$$
 (12)

where  $t = \frac{J_x + J_y}{4}$ ,  $\Delta = \frac{J_y - J_x}{4}$ .

(ii) Transforming to a momentum basis,  $c^\dagger{}_j=\frac{1}{\sqrt{N}}\sum_q d^\dagger{}_q e^{-ix_jq}$ , the Hamiltonian takes the form

$$H = -\sum_{q>0} 2t \cos(qa) [d^{\dagger}_{q} d_{q} - d_{-q} d^{\dagger}_{-q}] - \sum_{q} \Delta [e^{-iqa} d^{\dagger}_{q} d^{\dagger}_{-q} + \text{H.c}].$$
 (13)

Since  $d^{\dagger}_{q}d^{\dagger}_{-q} = -d^{\dagger}_{-q}d^{\dagger}_{q}$  is an odd function of q, we can replace  $\Delta e^{-iqa} \longrightarrow -i\Delta \sin qa$ , to get

$$H = \sum_{q>0} \epsilon_{q} [d^{\dagger}_{q} d_{q} - d_{-q} d^{\dagger}_{-q}] + \sum_{q>0} i \Delta_{q} [d^{\dagger}_{q} d^{\dagger}_{-q} - \text{H.c}]$$

$$= \sum_{q>0} \left(d^{\dagger}_{q}, d_{-q}\right) \begin{pmatrix} \epsilon_{q} & i \Delta_{q} \\ -i \Delta_{q} & -\epsilon_{q} \end{pmatrix} \begin{pmatrix} d_{q} \\ d^{\dagger}_{-q} \end{pmatrix}$$

$$= \sum_{q>0} d^{\dagger}_{q} (\epsilon_{q} \tau_{3} - \Delta_{q} \tau_{2}) d_{q}, \qquad (14)$$

where  $\epsilon_q = -2t\cos(qa)$ ,  $\Delta_q = 2\Delta\sin qa$  and we have introduced the Nambu notation

$$d_q = \begin{pmatrix} d_q \\ d^{\dagger}_{-q}. \end{pmatrix} \tag{15}$$

Notice how the sum over q>0 is needed so that  $d_q$  and  $d_{-q}$  are independent. Carrying out the Boguilubov transformation  $a^{\dagger}_q=d^{\dagger}_q U_q$ , or

$$\left(a^{\dagger}_{q}, a_{-q}\right) = \left(d^{\dagger}_{q}, d_{-q}\right) \cdot \underbrace{\left(\begin{array}{cc} u_{q} & -v_{q}^{*} \\ v_{q} & u_{q}^{*} \end{array}\right)}_{U_{q}}, \tag{16}$$

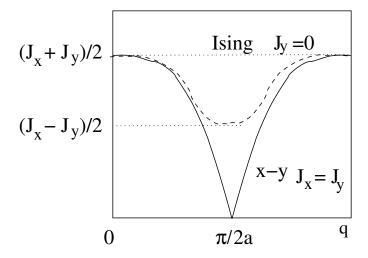


Figure 2: Showing dispersion for x-y, anisotropic x-y and Ising limits of model.

where  $U_q$  is the unitary matrix composed of the two column eigenvectors of  $\mathcal{H} = \epsilon_{\mathbf{q}} \tau_3 - \Delta_q \tau_2$ . Following the results of the last section, the Hamiltonian takes the form

$$H = \sum_{q>0} \omega_{\vec{q}} [a^{\dagger}_{q} a_{q} - a_{-q} a^{\dagger}_{-q}], \tag{17}$$

where

$$\omega_{q} = \left(\epsilon_{q}^{2} + \Delta_{q}^{2}\right)^{\frac{1}{2}} = \frac{1}{2} \left[J_{x}^{2} + J_{y}^{2} + 2J_{x}J_{y}\cos(2qa)\right]^{\frac{1}{2}}$$

$$\begin{pmatrix} u_{q} \\ v_{q} \end{pmatrix} = \begin{pmatrix} \left[1 + \frac{\epsilon_{q}}{\omega_{q}}\right]^{\frac{1}{2}} \\ i\left[1 - \frac{\epsilon_{q}}{\omega_{q}}\right]^{\frac{1}{2}} \end{pmatrix}.$$
(18)

The spectrum of spin-excitations is shown above. For the case  $J_y = J_x$ , the excitation spectrum is gapless, corresponding to the continuous rotational symmetry (Goldstone mode). For the case  $J_y$ , or  $J_x = 0$ , the excitation spectrum is flat  $\omega = J_x/2$  as expected for the 1d Ising model. We can interpret  $J_x/2$  as the energy to create a "domain wall" in the Ising Ferromagnet. If the ground-state is  $|\phi\rangle = |\to\to\to\to\to\to\to\rangle$  then the flat band of fermions corresponds to domain wall excitations. If we use open boundary conditions, then energy to create a single domain wall, i.e  $|\to\to\to\to\to\to\to\to$  is  $J_x/2$ . In periodic boundary conditions, such domain walls can only be created in pairs.