

# LECTURE 3

## Approximation methods III

### WKB

This method works when we have a slow varying potential with changes no more than few wave lengths.

In other words the system should have high kinetic energy and be in some high excited state.

Most often used for tunnelling across small and smooth potentials.

WKB is often used as the first step for semiclassical path integrals and instantons.

### WKB's 3 steps

1. Eigenfunction is expanded in powers of  $\hbar$ .

The approximation becomes wrong at  $x: E = V(x)$

2. Construct a separate solution at  $x$
3. Apply boundary conditions

Some details:

Start with Schrödinger eqn:

$$\hbar^2 \psi'' + 2m(E - V(x))\psi = 0$$

$$\psi(x) = A(x) e^{iS(x)/\hbar}$$

$A(x)$  and  $S(x)$  are real.

$$\hbar^2 A''(x) - 2iA\hbar S' \cdot A' + i\hbar A S'' - \underline{A S'^2} +$$

$$+ \underline{2m(E - V)A} = 0$$

Breaking it into real and imaginary parts:

$$\left\{ \begin{array}{l} \hbar^2 A'' - A S'^2 + 2m(E - V)A = 0 \\ 2A'S' + A S'' = (A^2 S')' = 0 \end{array} \right.$$

$$A = C/\sqrt{S'} \quad C \text{ is some constant}$$

→ This one is hard to solve.  
instead we assume that

$$\left\{ \begin{array}{l} A = A_0 + \hbar^2 A_1 + \dots \quad \text{and} \\ S = S_0 + \hbar^2 S_1 + \dots \end{array} \right.$$

plug those into the 1st eqn.

$$\hbar^2 (A_0'' + \hbar^2 A_1'' + \dots) - (A_0 + \hbar^2 A_1 + \dots) (S_0 + \hbar^2 S_1 + \dots)'^2 + \dots \text{ and so on}$$

Lets collect the terms with  $\hbar^0$ :

$$\hbar^0 \left\{ \begin{array}{l} S_0'^2 = 2m(E - V) \Rightarrow S_0' = \pm [2m(E - V)]^{1/2} \\ A_0 = C/\sqrt{S_0'} \end{array} \right.$$

and for  $\hbar^2$

$$\hbar^2: A_0'' - 2S_0' S_1' A_0 = 0$$

recall that  $\hbar p(x) = \sqrt{2m(E-V)}$

$$\text{then } S_0' = \pm \sqrt{2m(E-V)} \stackrel{\downarrow}{=} \pm p(x)$$

$$\Rightarrow S_0 = \pm \int dx' p(x')$$

$$A_0 = \frac{C}{\sqrt{p(x)}}$$

from  $\hbar^2$

$$: S_1' = \frac{1}{\left(\sqrt{S_0'}\right)''} \cdot \frac{1}{2\sqrt{S_0'}}$$

In the lowest approximation:  
we only consider  $A_0$  and  $S_0$ :

$$\Psi(x) \approx A_0(x) e^{iS_0/\hbar} = \frac{C_1}{\sqrt{p}} e^{iy_1} + \frac{C_2}{\sqrt{p}} e^{-iy_1}$$

$$\text{where } y_1 = \frac{1}{\hbar} \int_0^x p(x') dx'$$

b/c  $p(x) = \sqrt{\dots}$   $y_1$  is real if  $V < E$

and imaginary  $V > E$

The solution will oscillate inside  $V < E$   
and decay for  $E > V$

NB!

Applicability: to converge  $\hbar^2 S_1' < S_0$

$$\text{on } |\hbar^2 S_1' / S_0| < 1 \Rightarrow \hbar^2 S_1' / S_0' \text{ is small.}$$

$$\text{since } S_0' = \hbar/p = \hbar/\lambda \Rightarrow |\lambda'^2 - 2\lambda\lambda''| \ll 32\pi$$

here  $\lambda' = \left(\frac{d\lambda}{dx}\right)/\lambda \Rightarrow$

$$\lambda \left| \frac{d\lambda}{dx} \right| / p \ll 1 \quad \text{since } \lambda = \hbar/p$$

in other words

the change of momentum across  $\lambda$  should be very small

Now, once the quantum object is closer to  $E - V(x_0) = 0$  the particle stops at the turning point  $x_0$  i.e. the  $\lambda = 2\pi\hbar/p(x_0) \rightarrow \infty$

$x_0$  is called the turning point

Ok what is the solution near  $x_0$ ?

Lets assume  $x = 0$  is turning point we expand around  $x_0$

$$p^2(x) = p x^h (1 + \alpha x + \beta x^2 + \dots)$$

$h > 0$

Lets consider only the first term:

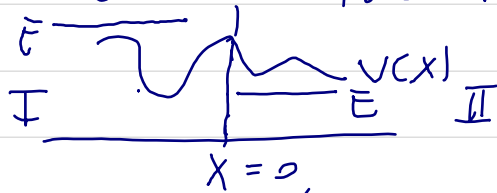
$$\hbar^2 \psi'' + \underbrace{2m(E - V(x))}_{p^2} \psi = 0$$

$$\psi'' + p x^h \psi = 0 \quad \text{which has the solution}$$

$$\psi(x) = A \sqrt{\frac{y}{p}} J_m(y) + B \sqrt{\frac{y}{p}} J_{-m}(y)$$

with  $m = \frac{1}{h+2}$   $y = \int_0^x p(x') dx'$   $J_m(y)$  Bessel.

Now consider the turning point  $x=0$ .



Lets start from  $x < 0$ : at  $x=0$   
 $E = V(x=0)$  so the kinetic term = 0  
 and at that point the particle  
 should bounce back (in class. mech.)  
 In QM it will tunnel; that is  
 why often we call I - classical  
II - non-class.  
 regions

in other words in:

$$\text{I: } y_1 = \frac{1}{\hbar} \int_x^0 p(x') dx \quad \text{real}$$

$$\text{II: } y_2 = \frac{1}{\hbar} \int_x^0 p(x') dx \quad \text{imaginary}$$

and for  $\Psi$ :

$$\begin{cases} \Psi_1(x) = A_1 \sqrt{y_1/p} J_{1/3}(y_1) + B_1 \sqrt{\frac{y_1}{p}} J_{-1/3}(y_1) \\ \Psi_2(x) = A_2 \sqrt{y_2/p} I_{1/3}(y_2) + I \sqrt{\frac{y_2}{p}} I_{-1/3} \end{cases}$$

Here  $I$  is the Bessel function with  
 imaginary  $y$ .

$$\text{At } x=0: \Psi_1(x) = \Psi_2(x)$$

$$\text{for small } x: p^2(x) \approx px \Rightarrow$$

$$\rightarrow y_1 = y_2 \approx \frac{2}{3} \sqrt{p} x^{3/2}$$

$$\text{and thus } A_2 = -A_1 \quad B_1 = B_2$$

What about the solution far away from  $x=0$ ?

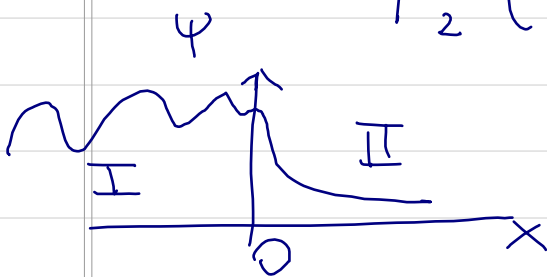
For this we can simply cite the asymptotic form of the Bessel function at  $x \rightarrow \pm \infty$

oscillates I:  $\psi_1 (x \rightarrow -\infty) \sim \frac{\alpha}{\sqrt{p}} \sin(y_1 + \pi/4)$   
 $\alpha = \sqrt{2}/\pi$

$$\psi_2 (x \rightarrow \infty) \sim \frac{\alpha}{\sqrt{p}} \cos(y_1 + \pi/4)$$

decays II:  $\psi_1 (x \rightarrow \infty) = \frac{\alpha}{2\sqrt{|p|}} e^{-y_2}$

$$\psi_2 (x \rightarrow \infty) = \frac{\alpha}{\sqrt{|p|}} e^{y_2}$$



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For several interesting appl.

read pp: 409 - 414 and  
 section 15.3.3 on  $\alpha$ -decay

Few simple applications of WKB.

Ex. 1

Energy levels of harmonic oscillator.

$V(x) = kx^2/2$ , the turning point

$x$  is when  $p(x) = 0$  or

$$p(x) = \sqrt{2m(E - V(x))} \rightarrow x^{\pm} = \pm \sqrt{\frac{2E}{k}}$$

$$\begin{aligned} \psi &= \frac{1}{\hbar} \int_{x_-}^{x_+} p(x) dx = \\ &= \frac{1}{\hbar} \int_{x_-}^{x_+} dx \sqrt{2m \left( E - \frac{kx^2}{2} \right)} = \\ &= \sqrt{\frac{2mE}{\hbar}} \int_{x_-}^{x_+} \sqrt{1 - \frac{kx^2}{2E}} dx = \\ &= \frac{2E}{\hbar} \sqrt{\frac{m}{k}} \int_{-1}^1 dy \sqrt{1-y^2} = \frac{2E}{\hbar \omega} \int_{-\pi/2}^{\pi/2} \cos^2 z dz \\ &= \frac{E\pi}{\hbar \omega} \Rightarrow \end{aligned}$$

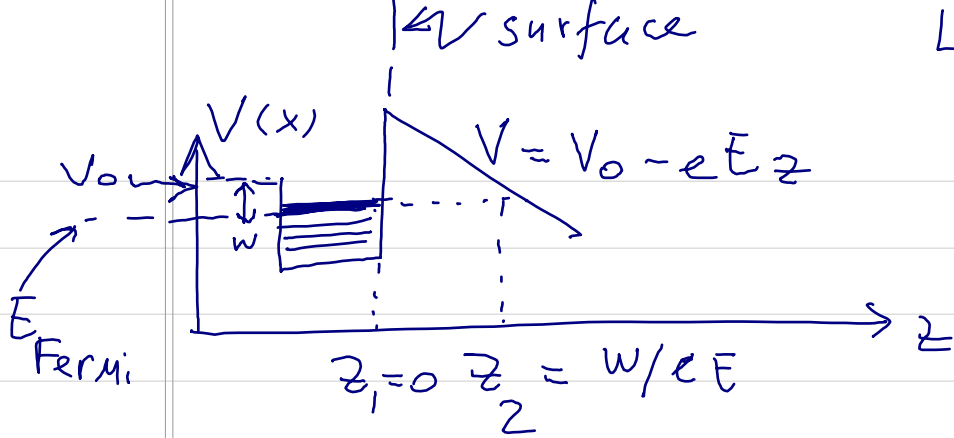
$$\frac{E\pi}{\hbar \omega} = \left( n + \frac{1}{2} \right) \pi \rightarrow E = \left( \frac{1}{2} + n \right) \hbar \omega$$

$n = 0, 1, 2, \dots$

see eqn. 15.22 p 410

### Ex. 2. Cold EMISSION of $e^-$ FROM A METAL

Strong electric field may strip out an electron from an atom = this is called cold emission.



- To remove electron from the metal we need to apply the electric field (or potential) to we have 2 turning points

$$z_1 = 0 \quad \text{and} \quad w = eEz_2 \Rightarrow z_2 = w/eE$$

$$V(z) = V_0 - eEz \quad \text{and}$$

$$E - V(z) = \underbrace{V_0 - eEz - E_F}_w = w - eEz$$

Recall the transmission probability is

$$T = \exp \left[ -\frac{2}{\hbar} \int_{x_1}^{x_2} \sqrt{2m(V(z) - E)} dz \right]$$

$$= \exp \left[ -\frac{2}{\hbar} \int_{x_1}^{x_2} \sqrt{-2m(eEz - w)} dz \right]$$

$$x_1 = z_1 \quad x_2 = z_2$$

$$= \exp \left[ -\frac{4}{3} \frac{\sqrt{2mw^3}}{3\hbar eE} \right]$$

$V_0 \rightarrow$  surface potential.  
Large  $E$  can lower the barrier!



## Asymptotic method:

To avoid different expressions for each region one can use the so-called ASYM. METHOD, see pages 419 - 420 for this alternative treatment of the harmonic oscillator.

THE END. OF L3



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