

# LECTURE 15

## Phonons as excitations

Start with those 3 pages

### THE PROBLEM OF QUANTUM MELTING

#### Second quantization:

Recall from quantum mechanics:

$$[x, p] = xp - px = i\hbar$$

based on this lets introduce 2 operators, which create and annihilate an excitation when applied to the ground state or vacuum.

$$\begin{cases} b = \frac{1}{\sqrt{2\hbar M\omega}} (M\omega x + ip) \\ b^\dagger = \frac{1}{\sqrt{2\hbar M\omega}} (M\omega x - ip) \end{cases}$$

lets ~~use~~ verify that anti-commutator is

$$[b, b^\dagger] = 1$$

$$\begin{aligned} [bb^\dagger] &= \frac{1}{2\hbar M\omega} \left\{ (M\omega^2) [xx] + iM\omega [px] - \right. \\ &\quad \left. - iM\omega [xp] + [pp] \right\} = \frac{1}{2\hbar} iM\omega [px] \\ &= \frac{1}{2\hbar} (-2i [xp]) = \frac{-2i\hbar \cdot i}{2\hbar} = 1 \end{aligned}$$

inversely we can express  $x$  and  $p$  as

$$x = \sqrt{\frac{\hbar}{2M\omega}} (b^\dagger + b) \quad p = i \frac{\sqrt{2\hbar M\omega}}{2} (b^\dagger - b)$$

Recall that  $x, p$  are operators here!

Just a different representation.

For the HARMONIC OSCILLATOR:

$$\begin{aligned} H &= \frac{p^2}{2M} + B \frac{x^2}{2} = \frac{\hbar^2 \omega^2}{2 \cdot 2M} (b^\dagger - b)^2 \\ &\quad + \frac{B\hbar}{2M\omega} (b^\dagger + b)^2 = \frac{-\hbar\omega}{4} (b^\dagger - b)^2 + \frac{B\hbar}{2M\omega} (b^\dagger + b)^2 \end{aligned}$$

Recall  $\frac{\omega}{2} = \sqrt{\frac{B}{M}}$

$\hookrightarrow B = \omega^2 M$

$$H = \frac{1}{2} \hbar \omega (b b^\dagger + b^\dagger b) = \text{LHS}$$

$$= \hbar \omega \underbrace{b^\dagger b}_{(b b^\dagger) = 1} + \frac{1}{2} \hbar \omega = \frac{1}{2} \hbar \omega (n + \frac{1}{2})$$

2

where  $n = b^\dagger b$  is the occupation number  
(the number of ~~excitations~~ in the  
ground state)

for the states with excitations present:

$$b |n\rangle = \sqrt{n} |n-1\rangle$$

$$b^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle$$

the philosophy of  
bosons the  
more the merrier!

the amplitude grows as  $\sim \sqrt{n+1}$ !

$b$  - annihilation

$b^\dagger$  - creation operators.

they increase (decrease) the # of bosons  
by  $+ (-1)$ .

$$\text{for } n \equiv b^\dagger b \Rightarrow n |n\rangle = b^\dagger b |n\rangle = b^\dagger \sqrt{n} |n-1\rangle$$

$$= \sqrt{n} \cdot \sqrt{n} |n\rangle = n |n\rangle$$

for the ground state:  $b |0\rangle = 0$

$$|n\rangle = \frac{1}{\sqrt{n!}} (b^\dagger)^n |0\rangle$$

Now we are ready for something  
interesting.

Quantum melting.

# Lecture 15

Recall that vibration amplitude

$$U \equiv \delta x = \sqrt{\frac{\hbar}{2M\omega}} (b^\dagger + b) \text{ for 1 phonon mode}$$

The average shift from eq. is  $\langle U \rangle = 0$

but for:

$$\langle U^2 \rangle = \frac{\hbar}{2M\omega} \langle (b^\dagger + b)^2 \rangle = \frac{\hbar}{2M\omega} \langle b^\dagger b^\dagger + b b + b^\dagger b + b b^\dagger \rangle$$

$$\langle b^\dagger b + b b^\dagger \rangle = \frac{\hbar}{2M\omega} (2 \langle b^\dagger b \rangle + 1) = \frac{\hbar}{2M\omega} (n + \frac{1}{2})$$

~~$\langle b b^\dagger + b^\dagger b \rangle = 1$~~

and for the highest energy phonons

$$\omega = \omega_D \quad \theta_D \equiv \hbar \omega_D$$

$$\langle U^2 \rangle = \frac{\hbar}{2M\theta_D} (n + \frac{1}{2})$$

$$n = \frac{1}{e^{\hbar\omega/k_B T} - 1} = \frac{1}{e^{\theta_D/k_B T} - 1}$$

So for

$$T \rightarrow 0 \quad \langle U^2 \rangle \sim \frac{\hbar^2}{2M\omega_D}$$

(zero point oscillations!)

$$T \rightarrow \theta_D: \quad \langle U^2 \rangle \sim \frac{\hbar^2}{4M\theta_D^2}$$

According to the Lindermann criterion

when  $\langle U^2 \rangle \sim a$  melting occurs.

(precisely:  $\langle U^2 \rangle \sim 0.2a$ )

So one can introduce the melting

parameter  $\Lambda \equiv \frac{\langle U^2 \rangle}{a} \leftarrow$  De Boer parameter

$$\text{at } T=0 \quad \langle U^2 \rangle \sim \frac{\hbar^2}{M\omega}$$

where  $\omega = \sqrt{\frac{B}{M}}$  ← bulk modulus

or the stiffness of the lattice

Lets estimate B

If we shift an atom from the equilibrium by a there will be a change in the pot. energy  $\sim Ba^2 \sim V(a)$

$$\text{energy} \sim Ba^2 \sim V(a)$$

the interaction potential between atoms.

$$B \sim \frac{V(a)}{a^2} \Rightarrow$$

$$\Lambda \approx \frac{\hbar}{a} \frac{1}{\sqrt{MV(a)}}$$

and if  $\Lambda \gtrsim 0.2 - 0.3$  the system is unstable against the ~~of~~ zero point motion and the quantum xtal melts!!!

Q: Does it really happen?

Well we need small  $M$  and weak  $V(a)$

~~What~~ meet  ${}^4\text{He}$  He is very light and

the He interaction is very small.

That's why He is liquid down to  $T=0$ !

What do you think about H (hydrogen)?

Another way to "encourage" quantum melting is to apply pressure  $P$

but of course  $a \downarrow$  but  $V(a)$  goes up ~~and~~

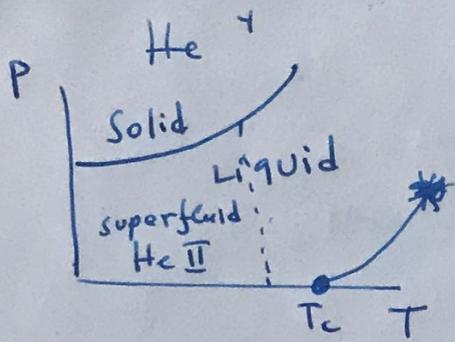
and then  $\Lambda \sim V(a)a^2$  may change

either way. For  ${}^4\text{He}$   $V(a)$  goes up quick

and it becomes solid. For electronic liquid it is opposite:

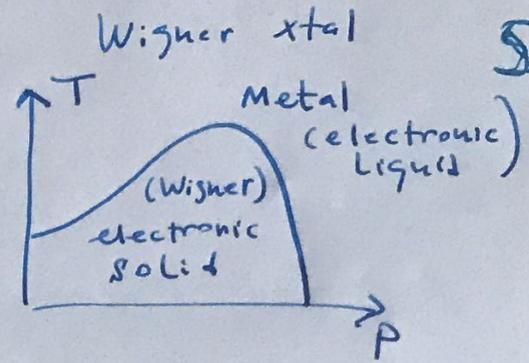
$$V(a) = \frac{e^2}{a} \quad \text{and} \quad \Lambda = \frac{\hbar}{a} \sqrt{\frac{Me^2}{a}} \sim \frac{1}{\sqrt{a}}$$

so as  $P \uparrow$   $a \downarrow$   $\Lambda \uparrow \Rightarrow$  cold melting of an electronic crystal (Wigner)



LIS

vs.



Temperature

~~PHYSICAL QUANTITIES IN SEMICLASSICAL MODEL~~Phonons. The 1<sup>st</sup> case on collective excitations

Consider a chain of identical atoms:



Define the position of atoms as:

$$x_n - \text{in the equilibrium } x_n^{eq} = n \cdot a$$

If we allow the motion of the atoms:

$$\delta x_n = x_n - x_n^{eq}$$

○ - Note since it is 1D we allow only longitudinal motion

- Let us also assume the potential is quadratic.
- Now it's getting strange. We technically describe the motion of balls connected with springs!!

$$V_{\text{total}} = \sum_j V(x_{j+1} - x_j) = \sum_j \frac{k}{2} (x_{j+1} - x_j - a)^2$$

$$= \sum_j \frac{k}{2} (\delta x_{j+1} - \delta x_j)$$

restoring  
force

The Force on the mass  $n$  is

$$F_n = - \frac{\partial V_{\text{tot}}}{\partial x_n} = - \frac{\partial}{\partial x_n} \left( \frac{k}{2} (x_{n+1} - x_n - a)^2 \right) =$$

$$= \underbrace{k(\delta x_{n+1} - \delta x_n) + k(\delta x_{n-1} - \delta x_n)}_{\text{Newtonian Law}} = m \delta \ddot{x}_n$$

Newtonian Law.

Recap: A normal mode is a collective oscillation where all particles move at the same frequency.

Lets attempt to solve it by assuming that we have a wave propagating through the chain

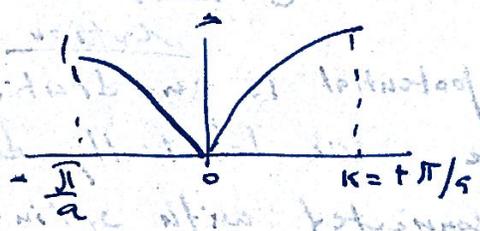
$$\delta x_n = A e^{i\omega t - i k x_n} = A e^{i(\omega t - k n a)}$$

A is the amplitude of the wave.

$$-m\omega^2 A e^{i\omega t - i k n a} = k A e^{i\omega t} [e^{-i k a (n+1)} + e^{-i k a (n-1)} - 2e^{-i k a n}]$$

$$m\omega^2 = 2k [1 - \cos(ka)] = 4k \sin^2(ka/2)$$

$$\text{or } \omega = 2 \sqrt{\frac{k}{m}} \left| \sin\left(\frac{ka}{2}\right) \right|$$



Not too surprising again b/c of the periodicity of the crystal we end up with the same physics:

$$e^{iG \cdot x} = 1 \quad \text{where } G = \frac{2\pi}{a} \cdot n$$

Again the same issue as for electrons

k is defined up to  $k + G_n = k$

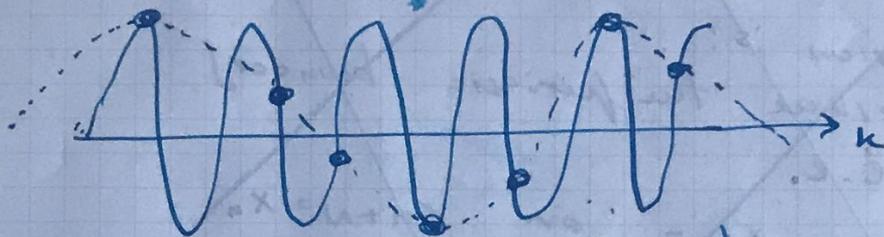
But what k we should use say is

$$v_{phase} = \frac{\omega}{k} = ? = \frac{\omega}{k+G} ?$$

$$\text{or do we say } \frac{2\pi}{k} = \frac{2\pi}{k+G} ?$$

The resolution to this puzzle comes from the fact that:

We can define the wave only at the lattice points  $x_n$



So  $k + \frac{2\pi}{a}n$  and  $k$  are the same!

This is also known as aliasing of waves.

### Properties of 1D chains.

Sound wave: always has  $\lambda$  much larger than  $a$  (e.g.  $\lambda \approx 10^4 a$ )

Large  $\lambda$ , small  $k$  so

the dispersion  $\omega = v_{\text{sound}} k$

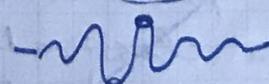
$$\omega = 2\sqrt{\frac{k}{m}} \left| \sin\left(\frac{ka}{2}\right) \right| \approx 2\sqrt{\frac{k}{m}} \cdot \frac{ka}{2}$$

$$\Rightarrow v_{\text{sound}} = \frac{\omega}{k} \approx \sqrt{\frac{k}{m}} \cdot a$$

Large  $\lambda$ , short  $k$ : We define the group velocity:

$$v_{\text{group}} = \frac{d\omega}{dk}$$

$$v_{\text{phase}} = \omega/k$$



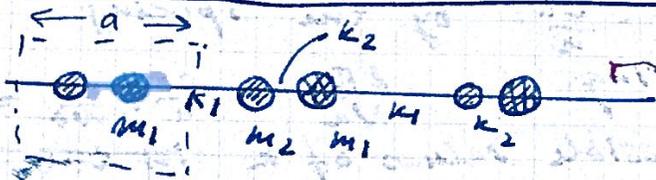
how individual maxima and minima move, if the dispersion is linear

$$v_{\text{group}} = v_{\text{phase}}$$

non-linear they are different.

Note at  $\pm\pi/a$ :  $v_{\text{group}} = 0!$  the dispersion is flat.

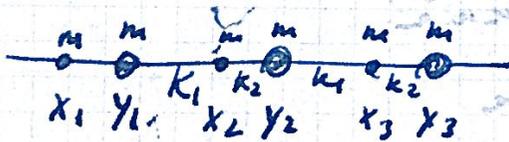
Two atoms per unit cell. - PHONON modes.



Lets for simplicity assume that  $m_1 = m_2$   
 We are about to repeat the same algebra  
 as for the 1D chain with 1-type of atoms  
 where we found that the system will

have the energy dispersion  $\omega(k) \propto \left| \sin \frac{ka}{2} \right|$

$$\begin{cases} m \ddot{\delta x}_n = k_2 (\delta y_n - \delta x_n) + k_1 (\delta y_{n-1} - \delta x_n) \\ m \ddot{\delta y}_n = k_1 (\delta x_{n+1} - \delta y_n) + k_2 (\delta x_n - \delta y_n) \end{cases}$$



again we will be  
 looking for a solution of  
 this kind:

$$\delta x_n = A_x e^{i\omega t - i k n a}$$

$$\delta y_n = A_y e^{i\omega t - i k n a}$$

We focus our attention on the 1<sup>st</sup> BZ.

$$-\frac{\pi}{a} \leq k \leq \frac{\pi}{a}, \text{ with } N \text{ unit cells}$$

$$L = N a$$

$k$  will be quantized

$$k = \frac{2\pi}{Na} = \frac{2\pi}{L}$$

here we mean  $N$   
 the number of u.c.  
 Not the number of atoms.

if we divide the range of  $k$  values in the 1st BZ  $\frac{2\pi}{a}$  by the spacing

between neighboring  $k$ 's  $\frac{2\pi}{Na} : \frac{2\pi}{a} : \frac{2\pi}{Na} \Rightarrow N$   
 we obtain  $N$  possible values of  $k$  ~~in the BZ~~,  
 or 1 value of  $k$  per unit cell.

But Debye states that there is only one more normal mode per degree of freedom and here we have 2 atoms but seemingly one mode!

What to do? Lets go ahead and solve the equations:

$$\left\{ \begin{aligned} -\omega^2 m A_x e^{-i\omega t - ikna} &= \\ &= k_2 A_y e^{i\omega t - ikna} + k_1 A_y e^{i\omega t - ik(n-1)a} \\ &- (k_1 + k_2) A_x e^{i\omega t - ikna} \\ -\omega^2 m A_y e^{i\omega t - ikna} &= \\ &= k_1 A_x e^{i\omega t - ik(n+1)a} + k_2 A_x e^{i\omega t - ikna} \\ &- (k_1 + k_2) A_y e^{i\omega t - ikna} \end{aligned} \right.$$

$$\left\{ \begin{aligned} -\omega^2 m A_x &= k_2 A_y + k_1 A_y e^{ika} - (k_1 + k_2) A_x \\ -\omega^2 m A_y &= k_1 A_x e^{-ika} + k_2 A_x - (k_1 + k_2) A_y \end{aligned} \right.$$

or

$$m\omega^2 \begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} (k_1 + k_2) & -k_2 - k_1 e^{ika} \\ -k_2 - k_1 e^{-ika} & (k_1 + k_2) \end{pmatrix} \begin{pmatrix} A_x \\ A_y \end{pmatrix}$$

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The solution is:

$$0 = \begin{vmatrix} (k_1 + k_2) - m\omega^2 & -k_2 - k_1 e^{ika} \\ -k_2 - k_1 e^{-ika} & (k_1 + k_2) - m\omega^2 \end{vmatrix} =$$

$$= |(k_1 + k_2) - m\omega^2|^2 - |k_2 + k_1 e^{ika}|^2 = 0$$

$$m\omega^2 = (k_1 + k_2) \pm |k_1 + k_2 e^{ika}|$$

$$|k_1 + k_2 e^{ika}| = \sqrt{(k_1 + k_2 e^{ika})(k_1 + k_2 e^{-ika})} =$$

$$= \sqrt{k_1^2 + k_2^2 + 2k_1 k_2 \cos ka}$$

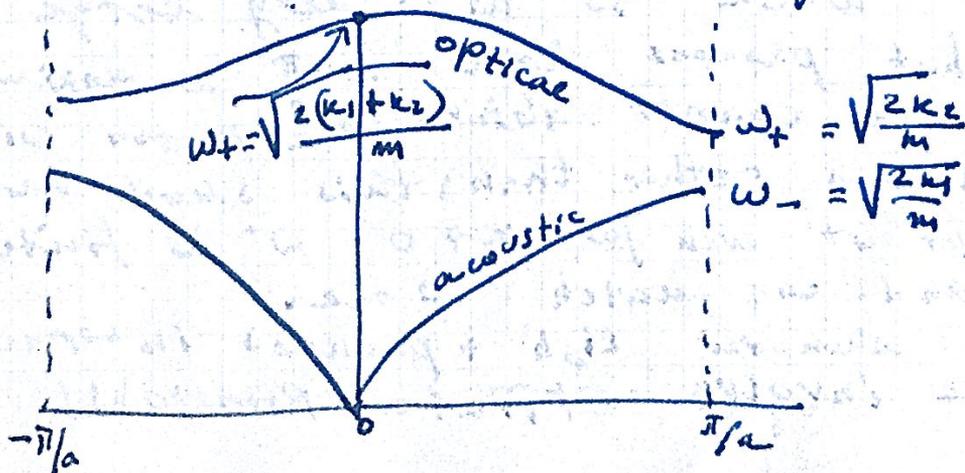
So finally

$$\omega_{\pm} = \sqrt{\frac{k_1 + k_2}{m} \pm \frac{1}{m} \sqrt{k_1^2 + k_2^2 + 2k_1 k_2 \cos(ka)}}$$

$$= \sqrt{\frac{k_1 + k_2}{m} \pm \frac{1}{m} \sqrt{(k_1 + k_2)^2 - 4k_1 k_2 \sin^2\left(\frac{ka}{2}\right)}}$$

for each  $k$  we have 2 solutions  $\pm$   
or 2 branches of the dispersion

~~Since there are 2 branches~~ or we have  
2N modes! Exactly as Debye predicted  
one excitation mode per degree of freedom.



LIS

Long wavelength show linear dispersion  
= acoustic branch. =  $\omega_-(k)$

Def: any mode which  $\omega = ck$  for  $k \rightarrow 0$   
is called acoustic

and if we assume  $\sin \frac{k_1 a}{2} \sim \frac{k_1 a}{2}$  and  $\sqrt{1+x} \approx 1+x/2$

$$\omega_- = \sqrt{\frac{k_1 + k_2}{m}} \approx \frac{1}{m} \sqrt{(k_1 + k_2)^2 - \frac{1}{4} k_1 k_2 (ka)^2}$$

$$v_{\text{sound}} = \frac{d\omega_-}{dk} = \sqrt{\frac{a^2 k_1 k_2}{2m(k_1 - k_2)}}$$

The high energy branch is more interesting:

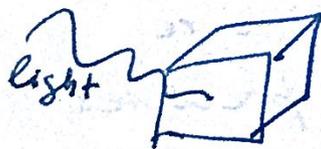
$$\text{for } k=0 \quad \omega_+ = \sqrt{\frac{2c(k_1 + k_2)}{m}}$$

and

$$v_{\text{group}} = \frac{d\omega}{dk} = 0 \text{ at } k=0!$$

This branch is called OPTICAL.

Here is why.



during the light absorption energy and momentum must be conserved, i.e.

$$\omega = ck \quad \text{so } \omega \text{ is very large}$$

but phonons have  $k \sim \frac{\pi}{a}$  as maximum and cannot absorb the photon with anything rather than this small value.

But for  $\omega_+$  even for  $k \rightarrow 0$   $\omega_+$  is finite and can match  $\omega = ck$ .

So whenever light + phonons interact we involve OPTICAL PHONONS.

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Lets look a little more carefully at the opt. and. acoustic mode for  $k \rightarrow 0$

$$m\omega^2 \begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} k_1+k_2 & -k_2-k_1 \\ -k_2-k_1 & k_1+k_2 \end{pmatrix} \begin{pmatrix} A_x \\ A_y \end{pmatrix}$$

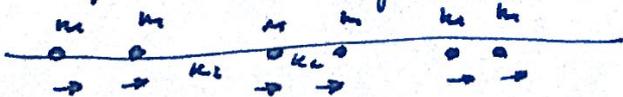
or  $\omega^2 \begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} A_x \\ A_y \end{pmatrix} \cdot \frac{k_1+k_2}{m}$

For the acoustic mode  $\omega=0$  at  $k=0$

$$\begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} A_x \\ A_y \end{pmatrix} = 0 \Rightarrow \begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

masses at position  $x$  and  $y$  move together

In short the atoms within the unit cell always move together



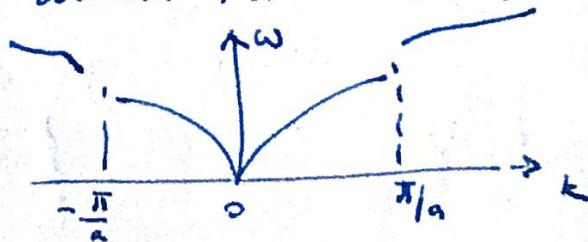
For optical branch  $k=0$   $\omega^2(k=0) = \frac{2(k_1+k_2)}{m}$

$$\frac{2(k_1+k_2)}{m} \begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} A_x \\ A_y \end{pmatrix} \frac{k_1+k_2}{m} \Rightarrow$$

$$\begin{pmatrix} A_x \\ A_y \end{pmatrix} = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

describes two masses moving in opposite directions

within the unit cell.



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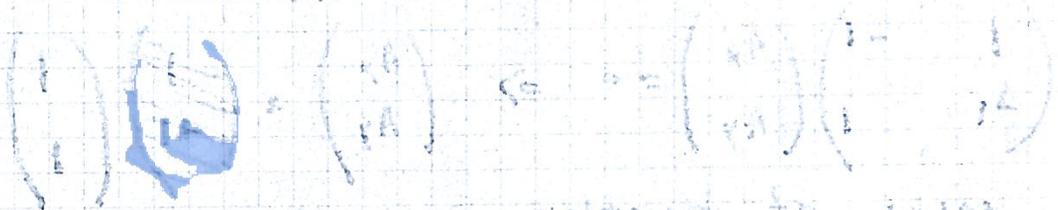
Now let's study our modes close to the zone boundary.  $\pm \frac{\pi}{a}$

$$\omega_+ = \sqrt{\frac{2c_1}{m}}$$

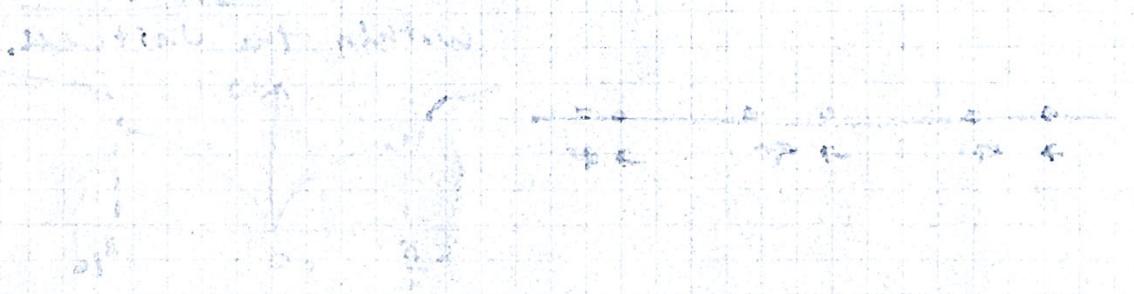
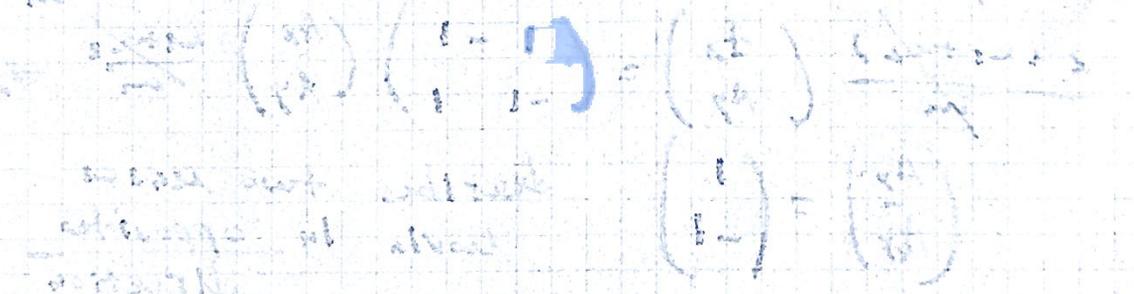
$$\omega_- = \sqrt{\frac{2c_2}{m}}$$

$$\frac{d\omega}{dk} = 0 \text{ at the z.B.}$$

when the phonon  $k$  approaches the z.b. the plane wave turns into a standing wave, with antiphase motion



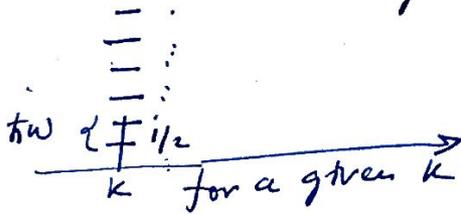
... that is, the motion of the atoms is ...



# THE THERMODYNAMICS OF PHONONS 16

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For  $n=0$  we get  $\hbar\omega(k)/2$   
 The lowest energy of excitation for  $\hbar\omega(k) \Rightarrow n=1$



Phonon is a discrete quantum of vibration  
 (photon is a quantum of light)

All known excitations are bosons  
 except for exotics like spinions, Majoranas etc.

Temperature shakes atoms and the phonons  
 can occupy higher and higher energy states.

based on the Bose statistics:

$$n_B(\beta\hbar\omega) = \frac{1}{e^{\beta\hbar\omega} - 1} \quad \beta = \frac{1}{k_B T}$$

Thus the energy expectation:

$$E_k = \hbar\omega(k) \left( n_B + \frac{1}{2} \right) \text{ for finite } T$$

From this we can calculate  
 many thermodynamical quantities, including  
 say the heat capacity:

$$U_{\text{total}} = \sum_k \hbar\omega(k) \left( n_B + \frac{1}{2} \right)$$

"sum over all normal modes"

$$k = \frac{2\pi}{Na} \cdot m \quad \text{for } -\frac{\pi}{a} \leq k \leq \frac{\pi}{a}$$

As we did for electrons:

$$\sum_k \rightarrow \frac{Na}{2\pi} \int_{-\pi/a}^{\pi/a} dk$$

Note the total number of modes is

$$\frac{Na}{2\pi} \int_{-\pi/a}^{\pi/a} dk = \underline{N}$$

Now let's calculate the total internal energy due to phonons

$$U_{\text{total}} = \frac{N a}{2\pi} \int_{-\pi/a}^{\pi/a} dk \hbar \omega(k) \left( n_B(\beta \hbar \omega(k)) + \frac{1}{2} \right)$$

$$\hookrightarrow \frac{dU}{dT} = C_V$$

Recall the difference between Debye and what we got is in  $\omega(k)$  for Debye  $\omega = v \cdot k$ , but in our case  $\omega(k) \approx \sqrt{\frac{k}{m}} \sin\left(\frac{k a}{2}\right)$

In fact what Einstein did he just introduced the same idea stating how  $\omega$  depends on  $k$ .

# THERMODYNAMICS OF PHONONS.

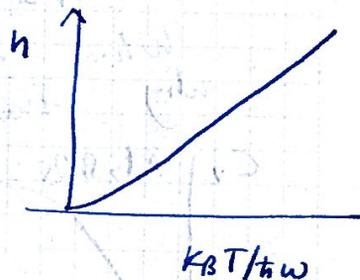
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We state without a proof that bosons should obey to the Bose-Einstein statistics, i.e.

$$n = \frac{1}{e^{\epsilon/k_B T} - 1} \quad \text{and if consider}$$

the thermal vibrations of the frequency  $\omega$  (or  $E = \hbar\omega$ )

$$n(\omega, T) = \frac{1}{e^{\hbar\omega/k_B T} - 1}$$



for high temperatures

$$k_B T > \hbar\omega$$

$$\frac{1}{2} + n = \frac{1}{2} + \frac{1}{e^{\frac{\hbar\omega}{k_B T}} - 1} = \frac{e^{\beta\epsilon} + 1}{2(e^{\beta\epsilon} - 1)}$$

$$= \frac{(1 + \beta\epsilon + \dots) + 1}{2(1 + \beta\epsilon + (\beta\epsilon)^2/2 + \dots) - 1} = \frac{2 + \beta\epsilon + \dots}{\beta\epsilon(2 + \beta\epsilon + \dots)}$$

$$\approx \frac{1}{\beta\epsilon} = \frac{k_B T}{\hbar\omega} \Rightarrow \text{for high } T \text{ we have}$$

$$E = \hbar\omega \left( \frac{1}{2} + n(\omega, T) \right) = \hbar\omega \left( \frac{1}{2} + \frac{k_B T}{\hbar\omega} \right) = \frac{\hbar\omega}{2} + k_B T = k_B T \quad (\text{This is for 1D})$$

↑ "0" motion

for 3D = 3 deg. of freedom

$$E = \sum \dots = 3 k_B T$$

which is equivalent to the internal energy of a gas.

Heat capacity:  $C_V$

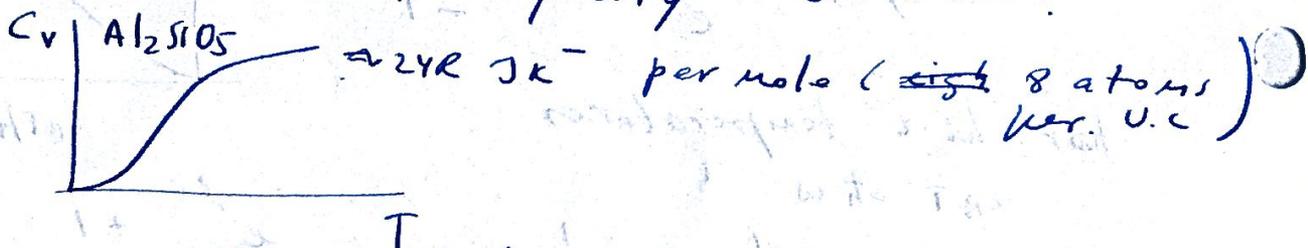
6\* 19

$$C_V = \left( \frac{\partial E}{\partial T} \right)_V = \sum \hbar \omega \frac{\partial n}{\partial T} =$$

$$= \sum k_B \left( \frac{\hbar \omega}{k_B T} \right)^2 \frac{e^{-\hbar \omega / k_B T}}{\left( e^{-\hbar \omega / k_B T} - 1 \right)^2}$$

For the high  $T$  limit  $C_V = 3R$  ( $J K^{-1}$ )  
 = Dulong - Petit.

What classical stat. physics cannot explain why the heat capacity  $\rightarrow 0$   $T \rightarrow 0$ ?



Density of states for phonons

$g(\omega)$  the number of vibration modes between  $\omega$  and  $\omega + d\omega \Rightarrow g(\omega) d\omega$

$$U = \int \left( n(\omega) + \frac{1}{2} \right) \hbar \omega g(\omega) d\omega$$

$$C_V = \int \frac{dn}{dT} \hbar \omega g(\omega) d\omega$$

Now let's apply the idea of DOS to acoustic phonons:

To determine  $g(\omega)$  we note

$$g(\omega) d\omega = g(k) dk$$

$$\text{since } \omega = v_{ac} k$$

In the xtal with  $N$  unit cells, the volume per u.c.

$$\frac{V}{N} \text{ and the volume of B.Z. } = \frac{2\pi^3 N}{V}$$

So in the 1st BZ there will be  $N$  available values of the  $k$ -vector, and volume per

the number of  $k$ -values per unit volume  $\frac{2\pi^3 N}{V}$  of the reciprocal space

$$N/V_{BZ} = V(2\pi)^3$$

# THERMODYN. OF PHONONS

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or in Spherical Coordinates:

$$g(\omega) d\omega = \frac{V}{(2\pi)^3} \cdot 4\pi k^2 dk \quad \text{where } k = \omega/v_a \quad dk = \frac{d\omega}{v_a} =$$

$$\Rightarrow g(\omega) d\omega = 3 \times \frac{V}{(2\pi)^3} \cdot 4\pi \left(\frac{\omega}{v_a}\right)^2 \frac{d\omega}{v_a} = \frac{3V\omega^2}{2\pi^2 v_a^3} d\omega$$

3 comes from the fact that there are 3 branches of acoustic waves for 3D.

so  $g(\omega) \sim \omega^2$

## HEAT CAPACITY

The total energy due to phonons:

$$E = \int_0^{\omega_D} \frac{3V\omega^2}{2\pi^2 v_a} \frac{1}{e^{\hbar\omega/k_B T} - 1} d\omega \quad = n(\omega, T)$$

where  $\omega_D$  is called the Debye frequency, a cut-off parameter.

$$\Rightarrow \frac{dE}{dT} = C_V = \int_0^{\omega_D} \frac{3V\omega^2}{2\pi^2 v_a} \cdot \hbar\omega \frac{d}{dT} \left( \frac{1}{e^{\hbar\omega/k_B T} - 1} \right) d\omega$$

1) Low T limit: At low T only low  $\omega$  phonons exist since  $n(\omega, T) \rightarrow 0$  as  $T \rightarrow 0$ . Also those  $\omega \ll \omega_D$  so  $\omega_D$  looks like  $\infty$ .

Substituting  $x \equiv \hbar\omega/k_B T$        $\omega^3 d\omega = \left(\frac{k_B T}{\hbar}\right)^4 x^3 dx$

$$E = \frac{3V\hbar}{2\pi^2 v_a} \left(\frac{k_B T}{\hbar}\right)^4 \int_0^{\infty} x^3 (e^x - 1)^{-1} dx \Rightarrow$$

$$E = \frac{V\pi^2 (k_B T)^4}{10(v_a \hbar)^3} \Rightarrow E \sim T^4 \Rightarrow C_V = \frac{dE}{dT} \sim T^3$$

or  $C_V = \frac{2V\pi^2 k_B}{5(v_a \hbar/k_B)^3} T^3$  if we introduce the Debye temperature

$$\Theta_D = \frac{\hbar\omega_D}{k_B} \Rightarrow \Theta_D = \frac{\hbar v_a}{k_B} \left(\frac{16\pi N^2}{V}\right)^{1/2} \quad (\text{not shown here, see AEM})$$