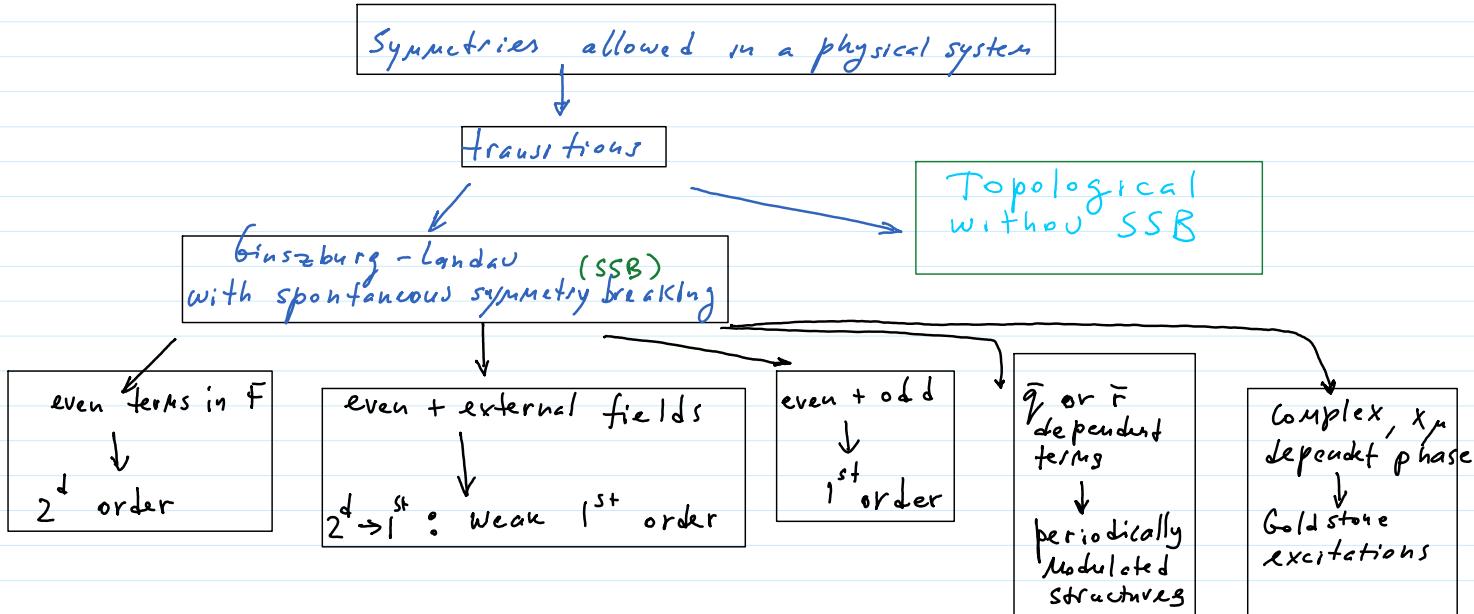


## What kinds of OP?

Thursday, September 20, 2018 9:37 AM

- OP can be a scalar - periodic charge density in a crystal
- vector - magnetisation in a FM or FE
- complex scalar - electron condensate wavefunction in SC
- tensor - liquid crystals, anisotropic SC or superfluidity in  $^3\text{He}$  or high  $T_c$  SC.



# What happens when nature breaks symmetry ?

Saturday, September 1, 2018 9:10 PM

- **Phase transitions** We saw that in Landau's example, the parameter  $a$  in the free energy was temperature dependent. At a temperature  $T_c$ , at which  $a$  changes sign, a phase transition takes place. The transition separates two distinct states of different symmetry. The low-temperature phase has lost some symmetry, more precisely it is missing a symmetry element.<sup>5</sup>
- **New excitations** Our philosophy has been that every particle is an excitation of the vacuum of a system. When a symmetry is broken we end up with a new vacuum (e.g. a vacuum with  $M = -M_0$ ). The fact that the vacuum is different means that the particle spectrum should be expected to be different to that of the unbroken symmetry state (such as  $M = 0$  in our example). We will see that new particles known as Goldstone modes can emerge upon symmetry breaking.<sup>6</sup>
- **Rigidity** Any attempt to deform the field in the broken symmetry state results in new forces emerging. Examples of rigidity include phase stiffness in superconductors, spin stiffness in magnets and the mechanical strength of crystalline solids.
- **Defects** These result from the fact that the symmetry may be broken in different ways in different regions of the system, and are topological in nature. An example is a domain wall in a ferromagnet. These are described in Chapter 29.

Reading for this section:  
QFT for FA . Ch.26

# Excitation spectrum

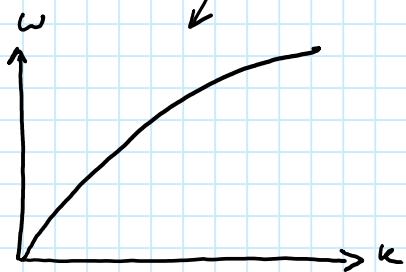
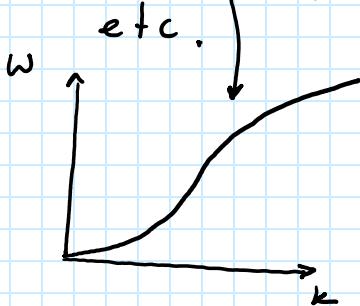
Thursday, September 20, 2018 10:00 AM

Recall our approach was to describe condensed matter from the excitation spectrum point of view.

Very generally, we can ask <sup>why and</sup> what kind of excitations we can expect in a symmetry broken state.

Experimentally there are many examples of such excitations:

- isotropic ferromagnet with SPIN WAVES
- crystal with acoustic phonons



is there any general rule which tells if those excitation really exists?

Meet the Goldstone theorem:

If at the transition we break a continuous symmetry, there must exist in the ordered state of this material a collective mode or collective excitation with gapless energy spectrum.

But what about Superconductivity?

# Do we live in superconducting Universe ?

Thursday, September 20, 2018 3:25 PM

In the electro-weak theory of Weinberg-Salam there is a combined  $U(1) \times SU(2)$  gauge symmetry. Due to coupling to the Higgs field whose symmetry is spontaneously broken one gauge field remains massless (the photon) and the other three become massive. These massive particles are the  $W^+$ ,  $W^-$ , and  $Z$  bosons.

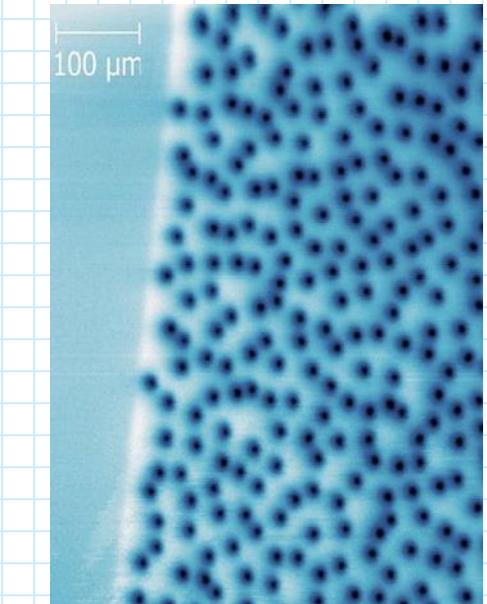
One of the key ideas first emphasized by Phil Anderson in 1963 was that a massless gauge field can acquire a mass in the presence of a coupling to a spontaneously broken field. A concrete realization of this occurs in superconductors. In the Meissner effect a superconductor thicker than the penetration depth expels magnetic fields. This is like the photon acquires a mass.

From <<http://condensedconcepts.blogspot.com/2012/07/the-higgs-boson-and-condensed-matter.html>>

In a type II superconductor, vortices are allowed in the superconducting order parameter field. **Can such vortices occur in the Higgs field?** They may have been important in the early universe.

On fascinating thing is that for the Higgs field the crucial ratio [between the London penetration length and the superconducting coherence length] that determines whether type II behavior is possible is the ratio of Higgs boson mass to  $W$  mass. The **LHC results suggest that type II behavior is possible!**

From P. Coleman's book, "Introduction to many-body..." page 246.  
Shortly after the importance of this mechanism for relativistic Yang Mills theories was noted by Higgs and Anderson, Weinberg and Salem independently applied the idea to develop the theory of "electro-weak" interactions. According to this picture, the universe we live is a kind of cosmological Meissner phase, formed in the early universe, which excludes the weak force by making the vector bosons which carry it, become massive. It is a remarkable thought that the very same mechanism that causes superconductors to levitate lies at the heart of the weak nuclear force responsible for nuclear fusion inside stars. In trying to discover the Higg's particle, physicists are in effect trying to probe the cosmic superconductor above its gap energy scale.



Vortices in a 200-nm-thick YBCO film imaged by scanning SQUID microscopy

# LG theory in QFT

Friday, August 31, 2018 2:47 PM

1

## Field theory and L-6 theory.

- Breaking symmetry with Lagrangian.

What we do in QFT is searching for a ground state of  $\varphi(x)$

For simplicity lets start with a simple model:

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \varphi)^2 - U(\varphi) \text{ where } U(\varphi) = \frac{\mu^2}{2} \varphi^2 +$$

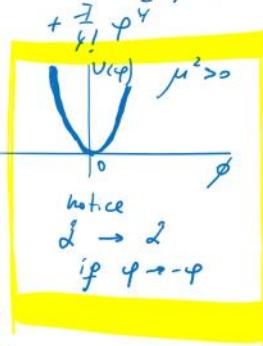
Now we move to a very interesting case:  $\mu^2 < 0$

in this case

$$\frac{\partial U}{\partial \varphi} = 0 \quad 0 = -\mu^2 \varphi + \frac{1}{3!} \varphi^3 \\ (0, \pm \sqrt[3]{6\mu^2/1})$$

$$\text{and } \frac{\partial^2 U}{\partial \varphi^2} = 0 \Rightarrow -\mu^2 + \frac{1}{2!} \varphi^2 = 0 \Rightarrow \frac{\partial^2 U}{\partial \varphi^2} < 0$$

$$\text{and } = +2\mu^2 \text{ for } \varphi = 0 \\ \boxed{\varphi_0 = \pm \sqrt[3]{6\mu^2/1}}$$



This is very strange as our system has two new vacua

ground state is broken  
in  $\varphi_0 \rightarrow -\varphi_0$  symmetry  
and it happens spontaneously

► What happens to excitations in the new ground state?

To investigate this lets select a new vacuum e.g.  $+\varphi_0$ , and excite the field around the ground state. The Taylor expansion gives:

$$U(\varphi - \varphi_0) = U(\varphi_0) + \left( \frac{\partial U}{\partial \varphi} \right)_{\varphi_0} (\varphi - \varphi_0) + \\ + \frac{1}{2!} \left( \frac{\partial^2 U}{\partial \varphi^2} \right)_{\varphi_0} (\varphi - \varphi_0)^2 + \dots = \\ = \frac{U(\varphi_0)}{\text{Const}} + \underbrace{\mu^2 (\varphi - \varphi_0)^2}_{\equiv \phi'} + \dots$$

The final Lagrangian is:

$$\boxed{\mathcal{L} = \frac{1}{2} (\partial \phi')^2 - \mu^2 \phi'^2 + O(\phi'^3)}$$

lets compare this to the original theory

$$\mathcal{L} = \frac{1}{2} (\partial \varphi)^2 - \frac{\mu^2}{2} \varphi^2 + \frac{1}{4!} \varphi^4$$

$$\hookrightarrow \mu \rightarrow \sqrt{2}\mu$$

Notice, the Lagrangian doesn't break the symmetry, it's still  $\varphi \rightarrow -\varphi$  invariant. But the symmetry is broken in the ground state. As the result, the vacuum gets a non-zero amplitude  $\varphi_0 = \left( \frac{\sqrt{2}\mu^2}{\lambda} \right)^{1/2}$  and becomes heavier.

# LG theory in QFT

Friday, August 31, 2018 2:58 PM

2

## Goldstone Modes

Consider a 2-component QFT:

$$\mathcal{L} = \frac{1}{2} \left[ (\partial_\mu \varphi_1)^2 + (\partial_\mu \varphi_2)^2 \right] + \frac{\mu^2}{2} (\varphi_1^2 + \varphi_2^2) - \frac{\lambda}{4!} (\varphi_1^2 + \varphi_2^2)^2$$

it has  $SO(2)$  symmetry around internal  $\varphi_1(x) - \varphi_2(x)$

There are infinite number of local minima.

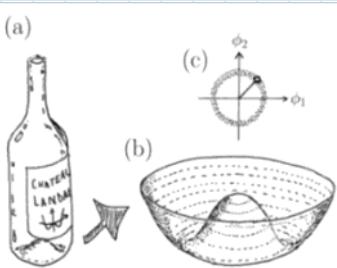
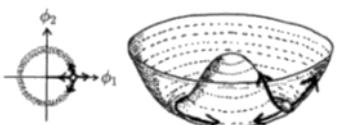


Fig. 26.6 (a) The potential for the  $SO(2)$  symmetry breaking looks like the bottom of a punt wine bottle. (b) There is a maximum at the point  $\phi_1 = \phi_2 = 0$ , but surrounding this there is a set of minima which lie on a circle. (c) The circle of minima are shown on a  $\phi_1$ - $\phi_2$  plot (this is therefore viewing the surface sketched in (b) from 'above'). The symmetry can then be broken by choosing a particular point in the circle of minima and setting this to be the ground state. We can then examine small deviations away from that point.



$$U(x) = -\mu^2/2 x + \frac{1^2}{4!} x^2$$

$$x = \varphi_1^2 + \varphi_2^2 \Rightarrow \frac{\partial U}{\partial x} = 0 \Rightarrow \varphi_1^2 + \varphi_2^2 = 6\mu^2/1$$

Let's imagine we break symmetry  
e.g.  $(\varphi_1, \varphi_2) = (+\sqrt{6\mu^2/1}, 0)$

and investigate the excitations around the ground state.

$$\varphi_1' = \varphi_1 - \sqrt{6\mu^2/1} \quad \varphi_2' = \varphi_2$$

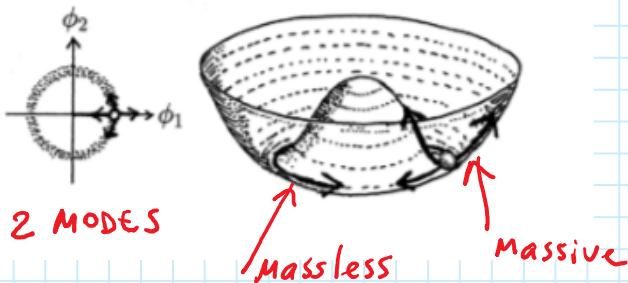
See next figure to get a better idea.

# LG theory of QFT

Friday, August 31, 2018 6:57 PM

3

~~to be continued. Now let's consider small deviations around the minimum point.~~



Consider  $U(\varphi_1, \varphi_2) = \frac{-\mu^2}{2}(\varphi_1^2 + \varphi_2^2) + \frac{\lambda^2}{4!}(\varphi_1^2 + \varphi_2^2)^2$

We expand around minimum  $(\varphi_1, \varphi_2) = \left(\sqrt{\frac{6\mu^2}{\lambda}}, 0\right)$

$$\frac{\partial^2 U}{\partial \varphi_1^2} = 2\mu^2 \quad \frac{\partial^2 U}{\partial \varphi_2^2} = 0$$

$$\check{\omega} = \frac{1}{2} [(\partial \varphi_1)^2 + (\partial \varphi_2)^2] - \mu^2 (\varphi'_1)^2 + O(\varphi'^3)$$

$\Rightarrow$

So the particle with field  $\varphi'_1$  has mass and  $\varphi'_2$  is massless. See Fig. above

So the excitations in  $\varphi'_2$  are gapless!

(in particle physics  
this would be massless)

The vanishing of the mass is the result of Goldstone theorem: Breaking a continuous symmetry always results in massless excitations known as a Goldstone mode

of Goldstone theorem: Breaking a continuous symmetry always results in massless excitations known as a Goldstone mode

## 4

### Breaking symmetry in a gauge theory

The most amazing effect occur when we apply the same ideas to the broken ground state in a gauge theory.

Here we want to discuss the famous Higgs mechanism.

Consider a scalar field theory:

$$\mathcal{L} = (\partial^\mu \psi^+ - ig A^\mu \psi^+) (\partial_\mu \psi + ig t_\mu \psi) + \mu^2 \psi^+ \psi - \frac{1}{4} (\psi^+ \psi)^2 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

this theory can describe for example an electron interacting with photons.

The important point - this theory is gauge invariant, i.e.

$$\begin{cases} A_\mu \rightarrow A_\mu - \frac{1}{q} \partial_\mu \alpha(x) \\ \psi \rightarrow \psi e^{i\alpha(x)} \end{cases}$$

The theory as written above describes

2 massive scalar particle

$$E_p = (\vec{p}^2 + \mu^2)^{1/2} \quad \text{and}$$

2 transverse polarized massless photons

$$E = 1 n 1$$

< various parameter functions

$$E_p = |p|$$

# Higgs Anderson mechanism

Monday, September 3, 2018 10:54 AM

Now we will break the symmetry  
lets move to the polar coordinates:

$$\Psi(x) = \rho(x) e^{i\theta(x)}$$

and select some unique angle  $\theta_0$  for all  $x$ .

So we seat at this specific state  
and want to know what excitations  
can emerge around this state?

$$\begin{aligned} \partial_\mu \Psi + ig A_\mu \Psi &= (\partial_\mu \rho(x)) e^{i\theta(x)} + \\ &+ i(\partial_\mu \theta(x)) \rho e^{i\theta} + g A_\mu \rho e^{i\theta} = \\ &= (\partial_\mu \rho) e^{i\theta} + i\rho e^{i\theta} \underbrace{(\partial_\mu \theta + g A_\mu)}_{\text{Compare to } (\partial_\mu \Psi + ig A_\mu \Psi)} \end{aligned}$$

we introduce a new gauge field  $(\partial_\mu + ig A_\mu) \Psi$

$$A_\mu + \frac{1}{g} \partial_\mu \theta \equiv C_\mu$$

so the term

$$\begin{aligned} (\partial^\mu \Psi^+ - ig A^\mu \Psi^+) (\partial_\mu \Psi - ig A_\mu \Psi) &= \\ &= (\partial_\mu \rho)^2 + \rho^2 g^2 C_\mu C^\mu \xleftarrow{\text{Show This}} \end{aligned}$$

# Higgs Anderson Mechanism

Monday, September 3, 2018 11:03 AM

6

We can also transform the field energy  $F_{\mu\nu} = \partial_\mu A^\nu - \partial_\nu A^\mu$   
 $= \partial_\mu c^\nu - \partial_\nu c^\mu$

Finally

$$\mathcal{L} = (\partial_\mu \rho)^2 + \rho^2 g^2 c^\mu c_\mu + \mu^2 \rho^2 - \\ - \frac{1}{4} \rho^4 - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} \stackrel{=}{=} C^2$$

Now break the symmetry:

$$\rho_0 = \sqrt{\mu^2/2} \quad \theta_0 = 0$$

Let's introduce the excitations around the ground state:

$$\frac{x}{\sqrt{2}} = \rho - \rho_0$$

Show This

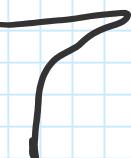
$$\mathcal{L} = \frac{1}{2} (\partial_\mu x)^2 - \mu^2 x^2 - \sqrt{\lambda} \mu x^3 - \frac{\lambda}{4} x^4 \\ - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{M^2}{2} c_\mu c^\mu + \\ + g^2 \left( \frac{\mu^2}{\lambda} \right)^{1/2} x c_- c^+ + \frac{1}{2} g^2 x^2 c_\mu c^\mu + \dots$$

$$+ \gamma^{-} \left( \frac{\mu}{\lambda} \right)^{1/2} x c_n c^m + \frac{1}{2} g^2 x^2 c_p c^+$$

$$\text{here } M = g \sqrt{\mu^2/\lambda}$$

# Higgs Anderson Mechanism

Monday, September 3, 2018 11:10 AM



Now we see that we have

X field excitations with mass  $\sqrt{\epsilon} \mu$

But  $C^\mu$  which was massless gauge field analog of  $A_\mu$  now has mass  $M$ .

Also  $\theta$  which was massless now is NOT in the theory broken symmetry and we instead have a massive term  $C^\mu$

The massless photon field  $A_\mu$  has eaten  $\theta(x)$  and got mass  $C_\mu(x)$   
So we have:

$$X \propto |E_p| = (p^2 + (\sqrt{\epsilon} \mu)^2)^{1/2}$$

+

3 Vector fields

$$(C_\mu(x)) \quad E_p = [p^2 + \left( \frac{q^2 \mu^2}{\lambda} \right)]^{1/2}$$

Summary: By applying a gauge transformation

Summary: By applying a gauge transformation  
 $A_\mu \rightarrow C_\mu$  we remove massless Goldstone modes  
and gained mass in excitations combining it  
with the gauge field.